UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM SD SPECIALIZED DISCLOSURE REPORT

HALLIBURTON COMPANY

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation or organization)

001-03492

(Commission File Number)

No. 75-2677995 (IRS Employer Identification No.)

3000 North Sam Houston Parkway East Houston, Texas (Address of principal executive offices) 77032

(Zip Code)

Lance Loeffler

Executive Vice President and Chief Financial Officer

(281) 871-7606

(Name and telephone number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

x Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period January 1, 2018 to December 31, 2018.

SECTION 1 - CONFLICT MINERALS DISCLOSURE

Item 1.01 Conflict Minerals Disclosure and Report

Halliburton Company (the "Company" or "Halliburton") conducted a reasonable country of origin inquiry (RCOI) of its suppliers to determine whether any conflict minerals, as defined by paragraph (d)(3) of Item 1.01 of Form SD, necessary to the functionality or production of a product manufactured or contracted to be manufactured by the Company during 2018 (the "Products") originated in the Democratic Republic of the Congo or an adjoining country, as defined by paragraph (d)(1) of Item 1.01 of Form SD. Halliburton exercised due diligence on the source and chain of custody of its conflict minerals, as discussed in paragraph (c)(1) of Item 1.01 of Form SD, that conforms to the Organisation for Economic Co-operation and Development (OECD) Due Diligence Framework for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.

Conflict Minerals Disclosure

In accordance with paragraph (c) of Item 1.01 of Form SD, Halliburton filed a Conflict Minerals Report (CMR) for the reporting period January 1, 2018 to December 31, 2018, as Exhibit 1.01 to this Form SD, and has made such report publicly available on Halliburton's website, at: http://ir.halliburton.com/phoenix.zhtml?c=67605&p=irol-sec. Information on Halliburton's website shall not constitute a part of this Form SD.

Item 1.02 Exhibit

The Company has contemporaneously filed the CMR with this Form SD, which is attached as Exhibit 1.01.

SECTION 2 - EXHIBITS

Item 2.01 Exhibits

Exhibit 1.01 - Conflict Minerals Report for the reporting period January 1, 2018 to December 31, 2018.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Date: May 30, 2019

HALLIBURTON COMPANY

By: <u>/s/ Lance Loeffler</u>

Lance Loeffler Executive Vice President and Chief Financial Officer

Conflict Minerals Report

Section I - Introduction of Applicability

Pursuant to Rule 13p-1 under the Securities Exchange Act of 1934, this report was prepared for the reporting period January 1, 2018 to December 31, 2018 (the "Reporting Period").

Halliburton Company (the "Company," "Halliburton," "we," or "our") is a leading provider of services and products to the upstream oil and natural gas industry. Halliburton has manufacturing operations in various locations, the most significant of which are located in the United States, Canada, Malaysia, Singapore, and the United Kingdom.

Halliburton comprises 14 product service lines (PSLs). The PSLs operate in two divisions: the Completion and Production division and the Drilling and Evaluation division. Our Consulting & Project Management PSL works across both divisions and is the spearhead of our integrated-services strategy. Its financial results are included in the Drilling and Evaluation division. PSLs are primarily responsible and accountable for strategy, technology development, process development, people development and capital allocation.

Completion and Production Division

- Ÿ Artificial Lift
- Ÿ Cementing
- Ÿ Completion Tools
- Ÿ Multi-Chem
- Ÿ Pipeline & Process Services
- Ÿ Production Enhancement
- Ÿ Production Solutions

Supporting Both Divisions

Ÿ Consulting & Project Management

Drilling and Evaluation Division

- Ÿ Baroid
- Ÿ Drill Bits & Services
- Ÿ Landmark
- Ÿ Sperry Drilling
- Ÿ Testing & Subsea
- Ÿ Wireline & Perforating

During the Reporting Period, products manufactured, or contracted to be manufactured, contained one or more of the following minerals: tantalum, tin, tungsten, or gold (3TG). Listed below are examples of where 3TG may be present in Halliburton products:

| Metal | Industry Applications | Applications in Halliburton |
|--|---|--|
| Tantalum Refined from Columbite- Tantalite (Coltan) | Capacitors, Resistors | Tantalum Capacitors, Alloys |
| Tin Refined from Cassiterite | Chemical Solutions, Capacitors Electrodes, Tin Alloys, Dioxide, Electroplating | Integrated Circuits, Pins, Resistors, Capacitors, PCBs, and Soldering |
| Tungsten Refined from Wolframite | Tungsten Carbide, Alloy, Light Bulb, Heating Elements, and Tungsten Inert Gas Welding | Electrodes, Tungsten Carbide (Drill Bits), Welding |
| Gold | Electrical Wiring, Connectors, Contact, and Gold Plating | Contacts, Pins, Connectors, Cable Harness, Wire Harness, Gold Plating |

Section II - Reasonable Country of Origin Inquiry (RCOI)

Halliburton conducted an RCOI among our suppliers on the source and chain of custody of certain minerals necessary to the functionality or production of a product manufactured or contracted to be manufactured by the Company (the Products) during the Reporting Period to determine whether they originated from the Democratic Republic of the Congo (DRC) or an adjoining country.

The RCOI for the Reporting Period was designed in accordance with the Organisation for Economic Co-operation and Development (OECD) Due Diligence Framework for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (the OECD Framework). Our suppliers were asked to complete the Conflict Minerals Reporting Template (CMRT), a survey tool developed by the Responsible Minerals Initiative (RMI), formerly known as the Conflict-Free Sourcing Initiative (CFSI). The CMRT is commonly utilized to identify smelters or refiners (SORs). Suppliers were provided the option of submitting the required information through an online survey version of the template directly on our third-party service provider's platform or through email with the MS Excel version attached for upload to the platform.

Section III - Design of Conflict Minerals Program and Due Diligence Measures

We are a downstream purchaser of products that include conflict minerals. We designed our program in accordance with the 5-Step OECD Framework as follows:

OECD Step 1: Establish strong company management systems

- Maintained the Supplier Ethics Statement on our external website at http://www.halliburton.com/en-US/about-us/supplier-relations/Policies-and-Procedures.page?node-id=hp11nobm, which reflect our commitment and expectation of supplier commitment to ethical business behavior, conflict-free sourcing, and human rights. Suppliers were notified via our RCOI email;
- Maintained our Human Rights Statement on our external website at http://www.halliburton.com/en-US/about-us/corporate-governance/halliburton-human-rights.page?node-id=hgeyxt6w&SRC=Footer, which reflects our long-standing commitment to uphold human rights as defined by the United Nations Universal Declaration of Human Rights (UDHR);
- Continued to include clauses requiring conflict-free materials and products from suppliers in our Master Purchase Agreement (MPA) and standard Purchase Order Terms & Conditions templates. Additionally, suppliers and their business partners are now also contractually bound to protect and uphold the fundamental human rights of their employees as stated in the UDHR;
- Continued using our internal Conflict-Free Sourcing Policy, updated in 2017, which aligns our conflict minerals program description with the 5-step OECD Framework and makes our employees aware of their responsibilities under the act to use suppliers who do not source 3TG from uncertified SORs;
- Utilized a cross-functional internal team among the Procurement, Material Management, Finance, Information Technology, and Law departments led by our Procurement, Materials & Logistics (PM&L) Business Compliance & Optimization Group;
- Retained a third-party service provider to query our applicable suppliers using the CMRT;
- Continued using a system of controls and transparency by developing a due diligence process using the CMRT, including evaluating and scoring the risk presented by each smelter, refiner, and respondent (supplier providing a CMRT) based on the SORs indicated and due diligence program described;
- Continued utilizing a platform to upload 2018 supplier information. The platform tracked supplier responses on a real-time basis and allowed us to leverage better data reporting and to take action for non-responsive suppliers;
- Saved and archived relevant records in an electronic database on a SharePoint site relevant to each year's campaign;
- Granted all suppliers determined to be in-scope for conflict mineral regulatory purposes a free membership with access to training and information on conflict minerals provided by our third-party service provider;
- Educated suppliers upon request on Dodd-Frank Action Section 1502, how the Conflict Mineral Rule applies to them and why it is important to respond to our RCOI. Also, provided resources in addition to those from our third-party service provider; and
- Continued to make our Ethics Helpline available for suppliers to report any violations of the law or violations of our Code
 of Business Conduct. The RMI also established a grievance mechanism which its members, stakeholders, and the public can
 utilize to raise concerns about the initiative, the audit program, protocols, SOR operations that fall in-scope of the
 Responsible Minerals Assurance Process (RMAP), formerly the Conflict-Free Smelter Program (CFSP), audit quality and
 auditor competencies, minerals supply chains and upstream/downstream initiatives.

OECD Step 2: Identify and assess risks in the supply chain

- Identified in-scope suppliers, which are tier one suppliers that supply products to Halliburton that may contain 3TG. Selection was based on the following criteria:
 - Products supplied to Halliburton plants within the Reporting Period;
 - Harmonized Tariff Codes and Material Groups of supplied products that may contain metal;
 - Product sales from Halliburton procurement plants to third party customers; and
 - Halliburton newly acquired manufacturers with reporting obligations pursuant to Rule 13p-1 under the Securities Exchange Act of 1934;
- Performed audit on supplier data to confirm accuracy of vendor contact information prior to campaign launch;
- Conducted a survey using the CMRT and our third-party service provider's platform, which included a phone number and email address to facilitate correspondence between suppliers and internal stakeholders;
- Requested suppliers provide an electronic signature before submitting their data to Halliburton to verify that all answers submitted were accurate to the best of the supplier's knowledge;
- Provided a product list for distributors to work with second-tier suppliers and asked distributors for a product-level CMRT, including directly interacting with second-tier suppliers requesting their responses;
- Established deadlines for suppliers' CMRT submissions, including requiring our tier one suppliers to provide an updated CMRT if additional information became available;
- Extended CMRT submission deadline by five days compared to the previous year in order to give suppliers additional time and increase the response rate;
- Followed up with non-responsive suppliers requesting their responses, through phone calls and emails, including up to four email reminders and two phone call reminders from our third-party service provider;
- Conducted direct outreach through Halliburton PM&L to non-responsive tier one suppliers requesting their participation in the program;
- Utilized our third-party service provider to evaluate supplier CMRT responses for plausibility, consistency, and gaps;
- Analyzed and compared the SORs identified by the supply chain with the following internationally accepted audit standards to determine which SORs are considered "DRC Conflict-Free": the RMAP, the London Bullion Market Association (LBMA) and the Responsible Jewellery Council (RJC); and
- Retained status as a member of the RMI (Halliburton member code HALL), through which we:
 - support engagement by the RMI with SOR(s) and the obtainment of information on country of origin and transit and transportation routes used between mine and SORs;
 - support the RMI RMAP, which includes an assessment of whether SORs have carried out all five steps of due diligence for responsible supply chains of 3TG from the DRC and adjoining countries; and
 - support spot checks and/or audits at SOR facilities.

OECD Step 3: Design and implement strategy to respond to identified risks

- Maintained our risk management plan by reviewing the due diligence of suppliers and SORs;
- Removed over one hundred suppliers from our supply chain for not complying with our conflict mineral requirements;
- Performed risk mitigation efforts by following up with tier one suppliers for validation and additional information on their smelter data and encouraged all smelters without RMAP "Compliant" status to participate in an independent third-party audit program;

- Sent "thank you" emails to smelters with RMAP "Compliant" status for being certified as conflict-free;
- Responded to supplier and customer inquiries in a timely manner by both Halliburton and our third-party service provider;
- Provided findings and progress reports periodically to senior management;
- Attended webinars and reviewed online resources to learn and understand new developments and best practices;
- Initiated risk mitigation activities when high-risk facilities were reported on a CMRT by one of the suppliers surveyed. High-risk facilities are those identified by our third-party service provider to source from the DRC or adjoining countries. Through our third-party service provider, submissions that include any of the high risk facilities immediately produce a receipt instructing the supplier to take their own risk mitigation actions, including submission of a product specific CMRT to better identify the connection to products that they supply to Halliburton, and escalating up to removal of these high risk smelters from their supply chain; and
- Contacted tier one suppliers using a high risk, non-compliant smelter and requested the supplier confirm if the noncompliant smelter was used in the goods supplied to Halliburton. If a supplier confirms or cannot identify that the smelter was used in goods supplied to Halliburton, then we will instruct the supplier to remove the smelter from goods supplied to Halliburton or take steps to help the smelter become compliant.

OECD Step 4: Carry out independent third-party audit of supply chain due diligence

- Retained status as a member of the RMI, by which we:
 - exercise leverage over upstream suppliers indirectly by requiring SORs to undergo an independent third-party audit, which requires due diligence, traceability, chain of custody, risk assessment, and mitigation activities upstream;
 - support the RMI's efforts to monitor whether SOR(s) demonstrate significant and measurable improvement within a reasonable time frame from the adoption of their risk management plans; and
 - support the RMI's independent third party audits of the SOR's due diligence practices through the RMAP; and
- Reviewed meeting notes from the RMI Smelter Engagement Team (SET) and Due Diligence Team.

OECD Step 5: Report on supply chain due diligence

- Report annually on supply chain due diligence by filing with the SEC a Form SD and Conflict Minerals Report (CMR); and
- Upload CMR to our company website at <u>http://ir.halliburton.com/phoenix.zhtml?c=67605&p=irol-sec</u>, on an annual basis.

Section IV - Results of Due Diligence Measures

A total of 1,294 suppliers were identified as in-scope for conflict mineral regulatory purposes and contacted as part of the RCOI process conducted by our third-party service provider. The response rate among these suppliers was 78% (1,006). Of these responding suppliers, 66% (666) confirmed their supply to Halliburton did not contain 3TG. The remaining 34% (340) of suppliers used 318 different SORs, of which, 53 indicated DRC sourcing. Out of the 53 SORs, one was found to be non-compliant. The non-compliant smelter was considered compliant until April 8, 2019, when it failed to complete the annual audit. We reached out to the non-compliant smelter via email urging them to become conflict-free certified. Additionally, we are also working with our suppliers who listed the non-compliant smelter in their CMRT to determine if the smelter was used in our products since CMRTs were not returned at the product-level. Action plans will be implemented to remove the smelter, if applicable. Any supplier who does not comply with our expectations is subject to termination.

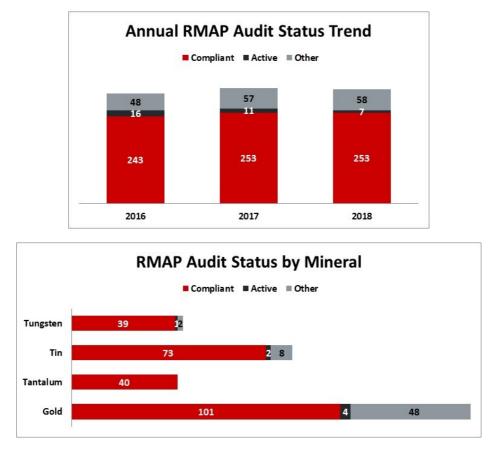
A total of 288 suppliers (22%) did not respond to our request to complete a CMRT as required by our MPA, standard terms and conditions, and Supplier Ethics Statement. To further our commitment, Halliburton increased the spend threshold by 25% for action on non-responsive suppliers. As a result, roughly 40% of the non-responsive suppliers were removed from our supply chain. We instructed the remaining non-respondent suppliers to develop corrective action plans to meet supplier requirements or risk being removed from our supply chain.

Halliburton received some incomplete responses from those surveyed. Some suppliers were unable to determine if they had provided conflict minerals originating from the DRC or an adjoining country, and other suppliers did not respond. Since all CMRTs were not submitted at a product-level, we do not have sufficient information to determine all SORs in our supply chain. The country of origin information was provided by our third-party service provider, based on information provided from the SORs as part of the RMAP and other interactions the third-party service provider may have had with the SORs.

Based on the information provided by our suppliers and our own due diligence efforts through May 2019 with 318 verified SORs (see Annex I), the below was identified:

- 253 SORs (80%) have received compliant status; meaning they have been audited and found to be compliant with the relevant RMAP protocol;
 - 3 out of 253 SORs were identified to source 3TG from the DRC or adjoining countries, all of which were found to be conflict-free certified;
- 58 SORs (18%) have not received compliant or active status, and the RMI status for these will be noted as "Other" in Annex I;
 - 33 out of 58 SORs were identified not to source 3TG from the DRC or adjoining countries;
 - 1 out of 58 SORs was identified to source 3TG from the DRC or adjoining countries; and
 - Note: As previously mentioned, this smelter was moved from the compliant list to the non-compliant list in April 2019.
 - 24 out of 58 SORs had unidentifiable 3TG countries of origin; and
- 7 SORs (2%) have received active status, meaning engaged in the program but not yet certified compliant.

For the 318 SORs mentioned above, our third-party service provider was able to provide countries of origin for most SORs. The countries of origin for the Products purchased by the identified SORs are listed in Annex II.



Section V - Risk Mitigation for Future Due Diligence

Halliburton will continually work toward a conflict-free supply chain with ethical sourcing of materials and products. There are many stakeholders that are dependent directly or indirectly on legal mining operations in the DRC or an adjoining country, which are not benefiting armed groups in that region. Halliburton promotes verifiable conflict-free sourcing from the DRC or an adjoining country.

Halliburton will take the following measures to enhance its due diligence on the source and chain of custody of conflict minerals by:

- Encouraging non-respondent suppliers to develop corrective action plans to promote compliance with our supplier requirements;
- Developing corrective action plans for suppliers using an active SOR that sources from the DRC or adjoining countries and has not received compliant or active status from RMI;
- Encouraging suppliers to evaluate the business relationship with SORs refusing to proceed with an independent third-party audit program;
- Providing assistance and education regarding the Dodd-Frank Act Section 1502 to suppliers to escalate down compliance requirements to more tiers of our supply chain;
- Encouraging eligible SORs' identification from the suppliers; and
- Contacting SORs without a RMAP "Compliant" status in our supply chain to participate in an independent third-party audit program.

Reference: The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (Third Edition) can be found at <u>http://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf</u>.

Section VI - Inherent Limitations on Due Diligence Measures

As a downstream purchaser and user of conflict minerals, Halliburton's due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the necessary conflict minerals. Our due diligence processes are based on the necessity of seeking data from our tier one suppliers and those suppliers seeking similar information within their supply chains to identify the original sources of the necessary conflict minerals. Such sources of information may yield inaccurate or incomplete information and may be subject to fraud. Many of our suppliers were nonresponsive and many were uncertain or provided data at a company or division level, as further described below. Halliburton has undertaken measures to verify responses and minimize these limitations, but cannot guarantee the accuracy of the responses provided.

Halliburton's supply chains are diverse between product service lines. Our products are many tiers removed from the SORs. To determine whether any 3TG was sourced from the DRC or an adjoining country, we relied on our tier one suppliers responding with accurate SOR identification information. Many suppliers provided responses with SOR information based on a company or divisional level, rather than a product-level specific to the materials and components we use. As such, the aggregated information set forth in Annex I and Annex II does not necessarily relate to materials or components used in Halliburton's products.

ANNEX I - Smelters or Refiners (SORs)

The information in this Annex is an aggregation of data provided by Halliburton's suppliers and not a confirmation of conflict minerals contained in our products.

| Metal | Standard Smelter or Refiner Name | Smelter Facility Location | RMI Smelter ID | RMI Audit Status | Source from Covered Countries? |
|-------|---|------------------------------|----------------|---------------------|--------------------------------------|
| Gold | Matsuda Sangyo Co., Ltd. | Japan | CID001119 | Compliant | No |
| Gold | Ogussa Osterreichische Gold- und Silber- Scheideanstalt GmbH | Austria | CID002779 | Compliant | No |
| Gold | Singway Technology Co., Ltd. | Taiwan | CID002516 | Compliant | No |
| Gold | 8853 S.p.A. | Italy | CID002763 | Compliant | Unknown |
| Gold | Metalurgica Met-Mex Penoles S.A. De C.V. | Mexico | CID001161 | Compliant | No |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | Russian Federation | CID001756 | Compliant | No |
| Gold | Solar Applied Materials Technology Corp. | Taiwan | CID001761 | Compliant | No |
| Gold | Refinery of Seemine Gold Co., Ltd. | China | CID000522 | Other | No |
| Gold | Advanced Chemical Company | United States | CID000015 | Compliant | No |
| Gold | Metalor Technologies (Hong Kong) Ltd. | China | CID001149 | Compliant | No |
| Gold | Metalor Technologies (Singapore) Pte., Ltd. | Singapore | CID001152 | Compliant | No |
| Gold | Metalor Technologies (Suzhou) Ltd. | China | CID001147 | Compliant | No |
| Gold | Metalor Technologies S.A. | Switzerland | CID001153 | Compliant | No |
| Gold | Metalor USA Refining Corporation | United States | CID001157 | Compliant | No |
| Gold | Asahi Refining Canada Ltd. | Canada | CID000924 | Compliant | No |
| Gold | Aida Chemical Industries Co., Ltd. | Japan | CID000019 | Compliant | No |
| Gold | Geib Refining Corporation | United States | CID002459 | Compliant | No |
| Gold | Degussa Sonne / Mond Goldhandel GmbH | Germany | CID002867 | Other | Unknown |
| Gold | L'Orfebre S.A. | Andorra | CID002762 | Compliant | Unknown |
| Gold | Al Etihad Gold Refinery DMCC | United Arab Emirates | CID002560 | Compliant | Unknown |
| Gold | Morris and Watson Gold Coast | Australia | CID002866 | Other | Unknown |
| Gold | Pease & Curren | United States | CID002872 | Other | Unknown |
| Gold | SAAMP | France | CID002761 | Compliant | Unknown |
| Gold | Allgemeine Gold-und Silberscheideanstalt A.G. | Germany | CID000035 | Compliant | No |
| Gold | Tony Goetz NV | Belgium | CID002587 | Other | Unknown |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | Uzbekistan | CID000041 | Compliant | No |
| Gold | Sudan Gold Refinery | Sudan | CID002567 | Other | Unknown |
| Gold | Mitsubishi Materials Corporation | Japan | CID001188 | Compliant | No |
| Gold | Mitsui Mining and Smelting Co., Ltd. | Japan | CID001193 | Compliant | No |
| Gold | MMTC-PAMP India Pvt., Ltd. | India | CID002509 | Compliant | No |
| Gold | Sumitomo Metal Mining Co., Ltd. | Japan | CID001798 | Compliant | No |

| Gold | Morris and Watson | New Zealand | CID002282 | Other | No |
|------|--|-------------------------|-----------|-----------|---------|
| Gold | Moscow Special Alloys Processing Plant | Russian Federation | CID001204 | Compliant | No |
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao | Brazil | CID000058 | Compliant | No |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S. | Turkey | CID001220 | Compliant | No |
| Gold | Guangdong Jinding Gold Limited | China | CID002312 | Other | No |
| Gold | T.C.A S.p.A | Italy | CID002580 | Compliant | No |
| Gold | African Gold Refinery | Uganda | CID003185 | Other | Unknown |
| Gold | Argor-Heraeus S.A. | Switzerland | CID000077 | Compliant | No |
| Gold | Asahi Pretec Corp. | Japan | CID000082 | Compliant | No |
| Gold | Asaka Riken Co., Ltd. | Japan | CID000090 | Compliant | No |
| Gold | Bangalore Refinery | India | CID002863 | Active | Unknown |
| Gold | Navoi Mining and Metallurgical Combinat | Uzbekistan | CID001236 | Other | No |
| Gold | Tanaka Kikinzoku Kogyo K.K. | Japan | CID001875 | Compliant | No |
| Gold | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | Turkey | CID000103 | Other | No |
| Gold | GCC Gujrat Gold Centre Pvt. Ltd. | India | CID002852 | Other | Unknown |
| Gold | Aurubis AG | Germany | CID000113 | Compliant | No |
| Gold | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. | China | CID000773 | Other | Unknown |
| Gold | Nihon Material Co., Ltd. | Japan | CID001259 | Compliant | No |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | Philippines | CID000128 | Compliant | No |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd. | China | CID000671 | Other | No |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM | China | CID001909 | Other | No |
| Gold | DS PRETECH Co., Ltd. | Korea, Republic of | CID003195 | Compliant | Unknown |
| Gold | The Refinery of Shandong Gold Mining Co., Ltd. | China | CID001916 | Compliant | No |
| Gold | International Precious Metal Refiners | United Arab Emirates | CID002562 | Other | Unknown |
| Gold | QG Refining, LLC | United States | CID003324 | Other | Unknown |
| Gold | HeeSung Metal Ltd. | Korea, Republic of | CID000689 | Compliant | Unknown |
| Gold | Heimerle + Meule GmbH | Germany | CID000694 | Compliant | No |
| Gold | Planta Recuperadora de Metales SpA | Chile | CID002919 | Compliant | Unknown |
| Gold | Heraeus Metals Hong Kong Ltd. | China | CID000707 | Compliant | No |
| Gold | Boliden AB | Sweden | CID000157 | Compliant | No |
| Gold | Heraeus Precious Metals GmbH & Co. KG | Germany | CID000711 | Compliant | No |
| Gold | Tokuriki Honten Co., Ltd. | Japan | CID001938 | Compliant | No |
| Gold | Tongling Nonferrous Metals Group Co., Ltd. | China | CID001947 | Other | No |
| Gold | TOO Tau-Ken-Altyn | Kazakhstan | CID002615 | Other | Unknown |
| Gold | Torecom | Korea, Republic of | CID001955 | Compliant | No |

| Gold | C. Hafner GmbH + Co. KG | Germany | CID000176 | Compliant | No |
|------|---|-------------------------|-----------|-----------|---------|
| Gold | Ohura Precious Metal Industry Co., Ltd. | Japan | CID001325 | Compliant | No |
| Gold | OJSC Novosibirsk Refinery | Russian Federation | CID000493 | Compliant | No |
| Gold | Caridad | Mexico | CID000180 | Other | No |
| Gold | OJSC "The Gulidov Krasnoyarsk Non- Ferrous Metals Plant" (OJSC Krastsvetmet) | Russian Federation | CID001326 | Compliant | No |
| Gold | CCR Refinery - Glencore Canada Corporation | Canada | CID000185 | Compliant | yes |
| Gold | Cendres + Metaux S.A. | Switzerland | CID000189 | Compliant | No |
| Gold | Umicore Brasil Ltda. | Brazil | CID001977 | Compliant | No |
| Gold | Umicore Precious Metals Thailand | Thailand | CID002314 | Compliant | No |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | Belgium | CID001980 | Compliant | No |
| Gold | Hunan Chenzhou Mining Co., Ltd. | China | CID000767 | Other | No |
| Gold | PAMP S.A. | Switzerland | CID001352 | Compliant | No |
| Gold | United Precious Metal Refining, Inc. | United States | CID001993 | Compliant | No |
| Gold | Penglai Penggang Gold Industry Co., Ltd. | China | CID001362 | Other | No |
| Gold | HwaSeong CJ CO., LTD. | Korea, Republic of | CID000778 | Other | No |
| Gold | Chimet S.p.A. | Italy | CID000233 | Compliant | No |
| Gold | Valcambi S.A. | Switzerland | CID002003 | Compliant | No |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | China | CID000801 | Compliant | No |
| Gold | Prioksky Plant of Non-Ferrous Metals | Russian Federation | CID001386 | Compliant | No |
| Gold | Chugai Mining | Japan | CID000264 | Active | No |
| Gold | PT Aneka Tambang (Persero) Tbk | Indonesia | CID001397 | Compliant | No |
| Gold | Western Australian Mint (T/a The Perth Mint) | Australia | CID002030 | Compliant | No |
| Gold | Ishifuku Metal Industry Co., Ltd. | Japan | CID000807 | Compliant | No |
| Gold | Istanbul Gold Refinery | Turkey | CID000814 | Compliant | No |
| Gold | Italpreziosi | Italy | CID002765 | Compliant | Unknown |
| Gold | WIELAND Edelmetalle GmbH | Germany | CID002778 | Compliant | No |
| Gold | Japan Mint | Japan | CID000823 | Compliant | No |
| Gold | Dijllah Gold Refinery FZC | United Arab Emirates | CID003348 | Other | Unknown |
| Gold | Jiangxi Copper Co., Ltd. | China | CID000855 | Compliant | No |
| Gold | Daejin Indus Co., Ltd. | Korea, Republic of | CID000328 | Compliant | No |
| Gold | PX Precinox S.A. | Switzerland | CID001498 | Compliant | No |
| Gold | Daye Non-Ferrous Metals Mining Ltd. | China | CID000343 | Other | No |
| Gold | Yamakin Co., Ltd. | Japan | CID002100 | Compliant | No |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | China | CID000651 | Other | No |
| Gold | Rand Refinery (Pty) Ltd. | South Africa | CID001512 | Compliant | yes |

| Gold | NH Recytech Company | Korea, Republic of | CID003189 | Active | Unknown |
|------|--|-------------------------|-----------|-----------|---------|
| Gold | DSC (Do Sung Corporation) | Korea, Republic of | CID000359 | Compliant | No |
| Gold | Asahi Refining USA Inc. | United States | CID000920 | Compliant | No |
| Gold | DODUCO Contacts and Refining GmbH | Germany | CID000362 | Compliant | No |
| Gold | Yokohama Metal Co., Ltd. | Japan | CID002129 | Compliant | No |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | Russian Federation | CID000927 | Other | No |
| Gold | JSC Uralelectromed | Russian Federation | CID000929 | Compliant | No |
| Gold | JX Nippon Mining & Metals Co., Ltd. | Japan | CID000937 | Compliant | No |
| Gold | AU Traders and Refiners | South Africa | CID002850 | Compliant | Unknown |
| Gold | Kaloti Precious Metals | United Arab Emirates | CID002563 | Other | No |
| Gold | Yunnan Copper Industry Co., Ltd. | China | CID000197 | Other | No |
| Gold | Kazakhmys Smelting LLC | Kazakhstan | CID000956 | Other | No |
| Gold | Kazzinc | Kazakhstan | CID000957 | Compliant | No |
| Gold | Royal Canadian Mint | Canada | CID001534 | Compliant | No |
| Gold | REMONDIS PMR B.V. | Netherlands | CID002582 | Compliant | Unknown |
| Gold | Sai Refinery | India | CID002853 | Other | Unknown |
| Gold | Universal Precious Metals Refining Zambia | Zambia | CID002854 | Other | Unknown |
| Gold | Sabin Metal Corp. | United States | CID001546 | Other | No |
| Gold | Modeltech Sdn Bhd | Malaysia | CID002857 | Other | Unknown |
| Gold | Safimet S.p.A | Italy | CID002973 | Compliant | No |
| Gold | Kyshtym Copper-Electrolytic Plant ZAO | Russian Federation | CID002865 | Other | Unknown |
| Gold | SAFINA A.S. | Czech Republic | CID002290 | Other | No |
| Gold | Kennecott Utah Copper LLC | United States | CID000969 | Compliant | No |
| Gold | KGHM Polska Miedz Spolka Akcyjna | Poland | CID002511 | Active | No |
| Gold | Dowa | Japan | CID000401 | Compliant | No |
| Gold | Samduck Precious Metals | Korea, Republic of | CID001555 | Other | Yes |
| Gold | SAMWON METALS Corp. | Korea, Republic of | CID001562 | Other | No |
| Gold | Kojima Chemicals Co., Ltd. | Japan | CID000981 | Compliant | No |
| Gold | Abington Reldan Metals, LLC | United States | CID002708 | Other | Unknown |
| Gold | Korea Zinc Co., Ltd. | Korea, Republic of | CID002605 | Compliant | Unknown |
| Gold | Shandong Humon Smelting Co., Ltd. | China | CID002525 | Other | Unknown |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | China | CID002224 | Compliant | No |
| Gold | SAXONIA Edelmetalle GmbH | Germany | CID002777 | Compliant | No |
| Gold | Gold Refinery of Zijin Mining Group Co., Ltd. | China | CID002243 | Compliant | No |
| Gold | Eco-System Recycling Co., Ltd. | Japan | CID000425 | Compliant | No |

| Gold | SEMPSA Joyeria Plateria S.A. | Spain | CID001585 | Compliant | No |
|----------|---|-------------------------|-----------|-----------|---------|
| Gold | Kyrgyzaltyn JSC | Kyrgyzstan | CID001029 | Compliant | No |
| Gold | L'azurde Company For Jewelry | Saudi Arabia | CID001032 | Other | No |
| Gold | Emirates Gold DMCC | United Arab Emirates | CID002561 | Compliant | No |
| Gold | SungEel HiMetal Co., Ltd. | Korea, Republic of | CID002918 | Compliant | Unknown |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | China | CID001619 | Other | No |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | China | CID001622 | Compliant | No |
| Gold | Lingbao Gold Co., Ltd. | China | CID001056 | Other | No |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | China | CID001058 | Other | No |
| Gold | LS-NIKKO Copper Inc. | Korea, Republic of | CID001078 | Compliant | No |
| Gold | Fidelity Printers and Refiners Ltd. | Zimbabwe | CID002515 | Other | No |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | China | CID001093 | Other | No |
| Gold | State Research Institute Center for Physical Sciences and Technology | Lithuania | CID003153 | Other | Unknown |
| Gold | Marsam Metals | Brazil | CID002606 | Compliant | Unknown |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | China | CID001736 | Compliant | Unknown |
| Gold | Fujairah Gold FZC | United Arab Emirates | CID002584 | Other | Unknown |
| Gold | Materion | United States | CID001113 | Compliant | No |
| Tantalum | Solikamsk Magnesium Works OAO | Russian Federation | CID001769 | Compliant | No |
| Tantalum | Metallurgical Products India Pvt., Ltd. | India | CID001163 | Compliant | No |
| Tantalum | Jiangxi Tuohong New Raw Material | China | CID002842 | Compliant | Unknown |
| Tantalum | Mineracao Taboca S.A. | Brazil | CID001175 | Compliant | No |
| Tantalum | Global Advanced Metals Aizu | Japan | CID002558 | Compliant | No |
| Tantalum | Global Advanced Metals Boyertown | United States | CID002557 | Compliant | No |
| Tantalum | Mitsui Mining and Smelting Co., Ltd. | Japan | CID001192 | Compliant | No |
| Tantalum | NPM Silmet AS | Estonia | CID001200 | Compliant | No |
| Tantalum | Guangdong Zhiyuan New Material Co., Ltd. | China | CID000616 | Compliant | No |
| Tantalum | Asaka Riken Co., Ltd. | Japan | CID000092 | Compliant | Unknown |
| Tantalum | H.C. Starck Co., Ltd. | Thailand | CID002544 | Compliant | No |
| Tantalum | H.C. Starck Tantalum and Niobium GmbH | Germany | CID002545 | Compliant | No |
| Tantalum | H.C. Starck Hermsdorf GmbH | Germany | CID002547 | Compliant | No |
| Tantalum | H.C. Starck Inc. | United States | CID002548 | Compliant | No |
| Tantalum | H.C. Starck Ltd. | Japan | CID002549 | Compliant | No |
| Tantalum | H.C. Starck Smelting GmbH & Co. KG | Germany | CID002550 | Compliant | No |
| Tantalum | Telex Metals | United States | CID001891 | Compliant | No |

| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | China | CID001277 | Compliant | No |
|----------|--|--|-----------|-----------|---------|
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | China | CID002492 | Compliant | No |
| Tantalum | Ulba Metallurgical Plant JSC | Kazakhstan | CID001969 | Compliant | No |
| Tantalum | Changsha South Tantalum Niobium Co., Ltd. | China | CID000211 | Compliant | No |
| Tantalum | Power Resources Ltd. | Macedonia, The Former Yugoslav Republic Of | CID002847 | Compliant | Unknown |
| Tantalum | Guangdong Rising Rare Metals-EO Materials Ltd. | China | CID000291 | Compliant | No |
| Tantalum | D Block Metals, LLC | United States | CID002504 | Compliant | No |
| Tantalum | XinXing Haorong Electronic Material Co., Ltd. | China | CID002508 | Compliant | No |
| Tantalum | QuantumClean | United States | CID001508 | Compliant | No |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | China | CID000914 | Compliant | No |
| Tantalum | Jiujiang Tanbre Co., Ltd. | China | CID000917 | Compliant | No |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | China | CID002506 | Compliant | No |
| Tantalum | Resind Industria e Comercio Ltda. | Brazil | CID002707 | Compliant | Unknown |
| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd. | China | CID001522 | Compliant | No |
| Tantalum | KEMET Blue Metals | Mexico | CID002539 | Compliant | No |
| Tantalum | Kemet Blue Powder | United States | CID002568 | Compliant | No |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | China | CID002512 | Compliant | Unknown |
| Tantalum | Taki Chemical Co., Ltd. | Japan | CID001869 | Compliant | No |
| Tantalum | Exotech Inc. | United States | CID000456 | Compliant | No |
| Tantalum | F&X Electro-Materials Ltd. | China | CID000460 | Compliant | No |
| Tantalum | LSM Brasil S.A. | Brazil | CID001076 | Compliant | No |
| Tantalum | FIR Metals & Resource Ltd. | China | CID002505 | Compliant | No |
| Tantalum | Jiujiang Janny New Material Co., Ltd. | China | CID003191 | Compliant | Unknown |
| Tin | Melt Metais e Ligas S.A. | Brazil | CID002500 | Compliant | No |
| Tin | Soft Metais Ltda. | Brazil | CID001758 | Compliant | No |
| Tin | Metallic Resources, Inc. | United States | CID001142 | Compliant | No |
| Tin | Metallo Belgium N.V. | Belgium | CID002773 | Compliant | No |
| Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | China | CID000228 | Compliant | No |
| Tin | CV Dua Sekawan | Indonesia | CID002592 | Compliant | Unknown |
| Tin | PT Rajehan Ariq | Indonesia | CID002593 | Compliant | Unknown |
| Tin | Gejiu Fengming Metallurgy Chemical Plant | China | CID002848 | Compliant | Unknown |
| Tin | Guanyang Guida Nonferrous Metal Smelting Plant | China | CID002849 | Compliant | Unknown |
| Tin | HuiChang Hill Tin Industry Co., Ltd. | China | CID002844 | Other | Unknown |
| Tin | Gejiu Kai Meng Industry and Trade LLC | China | CID000942 | Compliant | No |

| Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | China | CID000538 | Compliant | No |
|-----|--|---------------|-----------|-----------|---------|
| Tin | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | China | CID001908 | Compliant | No |
| Tin | PT Kijang Jaya Mandiri | Indonesia | CID002829 | Compliant | Unknown |
| Tin | Mineracao Taboca S.A. | Brazil | CID001173 | Compliant | No |
| Tin | Minsur | Peru | CID001182 | Compliant | No |
| Tin | Alpha | United States | CID000292 | Compliant | No |
| Tin | Mitsubishi Materials Corporation | Japan | CID001191 | Compliant | No |
| Tin | An Vinh Joint Stock Mineral Processing Company | Viet Nam | CID002703 | Other | No |
| Tin | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | China | CID003116 | Compliant | Unknown |
| Tin | Jiangxi New Nanshan Technology Ltd. | China | CID001231 | Compliant | No |
| Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | Viet Nam | CID002573 | Other | No |
| Tin | Thaisarco | Thailand | CID001898 | Compliant | No |
| Tin | PT Bangka Serumpun | Indonesia | CID003205 | Compliant | Unknown |
| Tin | Pongpipat Company Limited | Myanmar | CID003208 | Other | Unknown |
| Tin | Tin Technology & Refining | United States | CID003325 | Compliant | Unknown |
| Tin | Dongguan CiEXPO Environmental Engineering Co., Ltd. | China | CID003356 | Active | Unknown |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd. | Thailand | CID001314 | Compliant | No |
| Tin | O.M. Manufacturing Philippines, Inc. | Philippines | CID002517 | Compliant | No |
| Tin | Tuyen Quang Non-Ferrous Metals Joint Stock Company | Viet Nam | CID002574 | Other | No |
| Tin | Operaciones Metalurgical S.A. | Bolivia | CID001337 | Compliant | No |
| Tin | Huichang Jinshunda Tin Co., Ltd. | China | CID000760 | Compliant | No |
| Tin | Thai Nguyen Mining and Metallurgy Co., Ltd. | Viet Nam | CID002834 | Compliant | Unknown |
| Tin | China Tin Group Co., Ltd. | China | CID001070 | Compliant | No |
| Tin | PT Aries Kencana Sejahtera | Indonesia | CID000309 | Compliant | No |
| Tin | PT Artha Cipta Langgeng | Indonesia | CID001399 | Compliant | No |
| Tin | PT ATD Makmur Mandiri Jaya | Indonesia | CID002503 | Compliant | No |
| Tin | Gejiu Jinye Mineral Company | China | CID002859 | Compliant | Unknown |
| Tin | PT Babel Inti Perkasa | Indonesia | CID001402 | Compliant | No |
| Tin | PT Babel Surya Alam Lestari | Indonesia | CID001406 | Compliant | No |
| Tin | PT Bangka Prima Tin | Indonesia | CID002776 | Compliant | No |
| Tin | PT Bangka Tin Industry | Indonesia | CID001419 | Compliant | No |
| Tin | PT Belitung Industri Sejahtera | Indonesia | CID001421 | Compliant | No |
| Tin | PT Bukit Timah | Indonesia | CID001428 | Compliant | No |
| Tin | White Solder Metalurgia e Mineracao Ltda. | Brazil | CID002036 | Compliant | No |
| Tin | PT DS Jaya Abadi | Indonesia | CID001434 | Compliant | No |
| Tin | PT Inti Stania Prima | Indonesia | CID002530 | Compliant | No |
| Tin | PT Karimun Mining | Indonesia | CID001448 | Compliant | No |
| Tin | PT Mitra Stania Prima | Indonesia | CID001453 | Compliant | No |

| Tin | PT Panca Mega Persada | Indonesia | CID001457 | Compliant | No |
|----------|---|-----------|------------------------|-----------|------------|
| Tin | CV Ayi Jaya | Indonesia | CID001437 | Compliant | No |
| Tin | PT Prima Timah Utama | Indonesia | CID002570 | Compliant | No |
| Tin | CV Gita Pesona | Indonesia | CID001450 | Compliant | No |
| Tin | PT Refined Bangka Tin | Indonesia | CID000300 | Compliant | No |
| Tin | PT Sariwiguna Binasentosa | Indonesia | CID001463 | Compliant | No |
| Tin | PT Premium Tin Indonesia | Indonesia | CID001403 | Compliant | No |
| Tin | CV United Smelting | Indonesia | CID000315 | Compliant | No |
| Tin | CV Venus Inti Perkasa | Indonesia | CID002455 | Compliant | No |
| Tin | PT Stanindo Inti Perkasa | Indonesia | CID002455 | Compliant | No |
| Tin | PT Sukses Inti Makmur | Indonesia | CID001400 | Compliant | No |
| Tin | PT Sumber Jaya Indah | Indonesia | CID002010 | Compliant | No |
| Tin | PT Timah (Persero) Tbk Mentok | Indonesia | CID001471 CID001482 | Compliant | No |
| Tin | PT Timah (Persero) Tbk Kundur | Indonesia | CID001482 CID001477 | Compliant | No |
| Tin | PT Tinindo Inter Nusa | Indonesia | CID001477 CID001490 | Compliant | No |
| Tin | PT Tirus Putra Mandiri | Indonesia | CID001490 CID002478 | Compliant | No |
| Tin | PT Tommy Utama | Indonesia | CID002478 CID001493 | Compliant | No |
| Tin | Chifeng Dajingzi Tin Industry Co., Ltd. | China | CID001493 CID003190 | Compliant | Unknown |
| Tin | Resind Industria e Comercio Ltda. | Brazil | CID003190 CID002706 | Compliant | Unknown |
| 1111 | | DIdZII | CID002706 | Compilant | Ulikilowii |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | China | CID002158 | Compliant | No |
| Tin | Yunnan Tin Company Limited | China | CID002180 | Compliant | No |
| Tin | Rui Da Hung | Taiwan | CID001539 | Compliant | No |
| Tin | Modeltech Sdn Bhd | Malaysia | CID002858 | Compliant | Unknown |
| Tin | Dowa | Japan | CID000402 | Compliant | Unknown |
| Tin | PT Menara Cipta Mulia | Indonesia | CID002835 | Compliant | Unknown |
| Tin | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | Viet Nam | CID002572 | Other | No |
| Tin | Super Ligas | Brazil | CID002756 | Other | Unknown |
| Tin | Metallo Spain S.L.U. | Spain | CID002774 | Compliant | No |
| Tin | EM Vinto | Bolivia | CID000438 | Compliant | No |
| Tin | Estanho de Rondonia S.A. | Brazil | CID000448 | Other | No |
| Tin | Fenix Metals | Poland | CID000468 | Compliant | No |
| Tin | Magnu's Minerais Metais e Ligas Ltda. | Brazil | CID002468 | Compliant | No |
| Tin | Gejiu Zili Mining And Metallurgy Co., Ltd. | China | CID000555 | Active | No |
| Tin | Malaysia Smelting Corporation (MSC) | Malaysia | CID001105 | Compliant | yes |
| Tungsten | Fujian Jinxin Tungsten Co., Ltd. | China | CID000499 | Compliant | No |
| Tungsten | A.L.M.T. TUNGSTEN Corp. | Japan | CID000004 | Compliant | No |
| Tungsten | Ganzhou Haichuang Tungsten Co., Ltd. | China | CID002645 | Compliant | Unknown |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd. | China | CID000875 | Compliant | No |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | China | CID002315 | Compliant | No |
| Tungsten | ACL Metais Eireli | Brazil | CID002833 | Compliant | Unknown |

| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | China | CID002494 | Compliant | No |
|----------|--|--------------------|-----------|-----------|---------|
| Tungsten | Moliren Ltd. | Russian Federation | CID002845 | Compliant | Unknown |
| Tungsten | South-East Nonferrous Metal Company Limited of Hengyang City | China | CID002815 | Compliant | Unknown |
| Tungsten | Woltech Korea Co., Ltd. | Korea, Republic of | CID002843 | Compliant | Unknown |
| Tungsten | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | China | CID002830 | Compliant | Unknown |
| Tungsten | Global Tungsten & Powders Corp. | United States | CID000568 | Compliant | No |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | China | CID000218 | Compliant | No |
| Tungsten | H.C. Starck Tungsten GmbH | Germany | CID002541 | Compliant | No |
| Tungsten | Niagara Refining LLC | United States | CID002589 | Compliant | No |
| Tungsten | H.C. Starck Smelting GmbH & Co. KG | Germany | CID002542 | Compliant | Unknown |
| Tungsten | Tejing (Vietnam) Tungsten Co., Ltd. | Viet Nam | CID001889 | Compliant | No |
| Tungsten | Masan Tungsten Chemical LLC (MTC) | Viet Nam | CID002543 | Compliant | No |
| Tungsten | Hunan Chenzhou Mining Co., Ltd. | China | CID000766 | Compliant | No |
| Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji | China | CID002579 | Compliant | No |
| Tungsten | Hunan Chunchang Nonferrous Metals Co., Ltd. | China | CID000769 | Compliant | No |
| Tungsten | Chenzhou Diamond Tungsten Products Co., Ltd. | China | CID002513 | Compliant | No |
| Tungsten | Hydrometallurg, JSC | Russian Federation | CID002649 | Compliant | No |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | China | CID000258 | Compliant | No |
| Tungsten | Hunan Litian Tungsten Industry Co., Ltd. | China | CID003182 | Active | Unknown |
| Tungsten | Japan New Metals Co., Ltd. | Japan | CID000825 | Compliant | No |
| Tungsten | Wolfram Bergbau und Hutten AG | Austria | CID002044 | Compliant | No |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | China | CID002551 | Compliant | No |
| Tungsten | Jiangxi Dayu Longxintai Tungsten Co., Ltd. | China | CID002647 | Other | Unknown |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | China | CID002320 | Compliant | No |
| Tungsten | Xiamen Tungsten Co., Ltd. | China | CID002082 | Compliant | No |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | China | CID002321 | Compliant | No |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | China | CID002313 | Other | No |
| Tungsten | Xinhai Rendan Shaoguan Tungsten Co., Ltd. | China | CID002095 | Compliant | No |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | China | CID002318 | Compliant | No |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | China | CID002317 | Compliant | No |

| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | China | CID002316 | Compliant | No |
|----------|---|--------------------|-----------|-----------|---------|
| Tungsten | Philippine Chuangxin Industrial Co., Inc. | Philippines | CID002827 | Compliant | Unknown |
| Tungsten | Kennametal Fallon | United States | CID000966 | Compliant | No |
| Tungsten | Kennametal Huntsville | United States | CID000105 | Compliant | No |
| Tungsten | Unecha Refractory Metals Plant | Russian Federation | CID002724 | Compliant | Unknown |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd. | China | CID002319 | Compliant | No |

Reported by the RMI RMAP on April 2019 Compliant - audited and found compliant with the relevant RMAP protocol Active - engaged in the program but not yet compliant

Other - have not received compliant or active status

ANNEX II - Countries of Origin

The information in this Annex is an aggregation of data provided by Halliburton's suppliers and not a confirmation of conflict minerals contained in our products.

Countries of origin include:

| ArgentinaHong KongPeruArmeniaHungaryPhilippinesAustraliaIndiaPolandAustraliaIndonesiaPolandBelarusIrelandRussian FederationBelgiumIsraelRwandaBermudaIsraelSaudi ArabiaBoliviaIory CoastSiera LeoneBrazilJapanSingaporeBrundiJerseySlovakiaCanadaKoreaSouth StudanCentral African RepublicKoreaSwitzerlandChilaLuxembourgSwitzerlandColombiaLuxembourgSwitzerlandDiploutiMadagascarTaiwanDiploutiMexicoThailandDiploutiMongoliaTurkeyEquadorMongoliaUandandiaFennaMongoliaTurkeyFinandNorccoUgandaFinandiNamarUnited Arab EmiratesDiploutiMaimarUnited States of AmericaFinandiNamarUnited States of AmericaFinandiNamarUnited States of AmericaFinandiNamibiaUnited States of AmericaFinandiNew ZealandiVietnamFinandiNew ZealandiVietnamFinandiNigeriaVietnamFinandiNigeriaVietnamFinandiNigeriaVietnamFinandiNigeriaVietnamFinandiNigeriaVietnamFinandiNigeriaVietnamFi | Angola | Guyana | Papua New Guinea |
|--|--------------------------|-------------|---------------------------|
| AustraliaIndiaPolandAustraliaIndonesiaPortugalAustriaIndonesiaPortugalBelarusIrelandRussian FederationBelgiumIsraelRwandaBornudaItalySaudi ArabiaBoliviaIvory CoastSirera LeoneBrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanChileKyrgyzstanSurinameChilaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTaixaniaDRCMoroccoUgandaEquadorMoroccoUgandaEstoniaMoroccoUgandaEstoniaMoroccoUnited Arab EmiratesEthiopiaMamarUnited Arab EmiratesEthiopiaMamarUnited States of AmericaFinlandNamibiaUnited States of AmericaFinlandNew ZealandVietnamGermanyNew ZealandNietnamGhanaNigerYennam | Argentina | Hong Kong | Peru |
| AustriaIndonesiaPortugalBelarusIrelandRussian FederationBelgiumIsraelRwandaBermudaItalySaudi ArabiaBoliviaIory CoastSiera LeoneBrazilJapanSingaporeBrunudiJerseySouth AfricaCambodiaKenyaSouth AfricaCanadaKenyaSouth AfricaChileKoreaSouth SudanChileKoreaSouth SudanChorobiaLuxembourgSwitzerlandCoogo (Brazzaville)MadagacarTaiwanDRCMozanbiqueTaixaniDRCMozonTaixaniEurodorMozonTaixaniEurodorMozonUnited ArabemiratesEurodorMozonUnited ArabemiratesEurodorMozonUnited ArabemiratesEurodorMozonUnited ArabemiratesEurodorMainarUnited States of AmericaFinlandNaminarUnited States of AmericaFinlandNaminarUnited States of AmericaFinlandNaminarUnited States of AmericaFinlandNaminarUnited States of AmericaFinlandNaminaUnited States of America | Armenia | Hungary | Philippines |
| BelarusIrelandRussian FederationBelgiumIsraelRwandaBermudaItalySaudi ArabiaBoliviaIvory CoastSierra LeoneBrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSurinameChileKyrgyzstanSurinameChinaLaxembourgSwitzerlandCoopo (Brazzaville)MadagascarTaiwanDRCMorcocTaiwanDRCMorcocUganabiExtuadorMorcocUgandaExtuadorMorcocUgandaExtuadorMorcocUgandaExtuadorMorcocUgandaExtuadorMyammarUnited Arab EmiratesFinlandNamibiaUnited States of AmericaFinlandNamibiaUzbekistanFinlandNamibiaUzbekistanFinlandNamibiaUzbekistanFinlandNamibiaUzbekistanFinlandNamibiaUzbekistanFinlandNew ZealandVietnamGermanyNew ZealandNietnameFinlandNiegerMoraceFinlandNiegerMoraceFinlandNew ZealandNietnameFinlandNiegerNietnameFinlandNietnameNietnameFinlandNietnameNietnameFinlandNietnameNiet | Australia | India | Poland |
| BelgiumIsraelRwandaBernudaIdaySaudi ArabiaBoliviaIvory CoastSierra LeoneBrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanChileKoreaSurinameChilaLosoSwedenCompo (Brazzaville)MalagascarSwitzerlandDiboutiMalaysiaTaiwanDiboutiMalousiaTaiwanDiboutiMorocoTaiwanDiboutiMorocoTaiwanEcuadorMorocoTaiwanDiboutiMorocoTaiwanDiboutiMorocoUnited Arab EmiratesEcuadorMorocoUnited Arab EmiratesEthiopiaMorantiqueUnited Arab EmiratesFinlandMorantiqueUnited Arab EmiratesFinlandMorantiqueUnited Arab EmiratesFinlandMorantiqueUnited Arab EmiratesFinlandMorantiqueUnited Arab EmiratesFinlandMorocoUnited Arab EmiratesFinlandMorantiqueUnited States of AmericaFinlandMorantiqueUnited States of AmericaFinlandMorantiqueUnited States of AmericaGermanyMorantinatesUnited States of AmericaFinlandMorantiqueUnited States of AmericaFinlandMorantinatesUnited States of AmericaFinlandMorantinatesUnited States of Ameri | Austria | Indonesia | Portugal |
| BernudaItalySaudi ArabiaBoliviaIvory CoastSierra LeoneBrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSurinameChileKyrgyzstanSurinameChinaLaosSwedenCoogo (Brazzaville)MalagascarTaiwanDiboutiMaliTanzaniaDRCMoroccoUgandaEquadorMoroccoUgandaEstoniaMoroccoUgandaEstoniaMamariUnited Arab EmiratesEthiopiaMainaniUnited Arab EmiratesFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNigerVietnamicaNigerNigenSamia | Belarus | Ireland | Russian Federation |
| BoliviaJorSierra LeoneBrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSpainChileKyrgyzstanSwrianameChinaLaosSwedenCoorgo (Brazzaville)MadagascarTaiwanDiboutiMaliTanzaniaDiboutiMorocoUgandaEcuadorMorocoUgandaEcuadorMorocoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMayamarUnited States of AmericaFinlandNamibiaUnited States of AmericaFranceNew ZealandUzbekistanGhanaNigerZambia | Belgium | Israel | Rwanda |
| BrazilJapanSingaporeBurundiJerseySlovakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSpainChileKyrgyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaijkistanDjiboutiMaliTanzaniaDRCMorgoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNigerZambiaManarVietnamGhanaNigerZambia | Bermuda | Italy | Saudi Arabia |
| BurundiJerseySolvakiaCambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSurinameChileKyrgyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MalagascarTaiwanOrce (RepublicMaliTanzaniaDjiboutiMaliTanzaniaDRCMorgoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMamarUnited States of AmericaFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGhanaNigerZambia | Bolivia | Ivory Coast | Sierra Leone |
| CambodiaKazakhstanSouth AfricaCanadaKenyaSouth SudanCentral African RepublicKoreaSpainChileKyrgyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMorgoliaTurkeyEcuadorMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaNamarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNigerZambia | Brazil | Japan | Singapore |
| CanadaKenyaSouth SudanCentral African RepublicKoreaSpainChileKygyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTaizaniaDjiboutiMalyiaTanzaniaDRCMongoliaTurkeyEcuadorMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaNomarUnited KingdomFinlandNamibiaUzbekistanFranceNeterlandsUzbekistanGermanyNigerXier | Burundi | Jersey | Slovakia |
| Central African RepublicYoreaSpainChileKyrgyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMongoliaTurkeyEquadorMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNew ZealandUzbekistanGermanyNierKierGhanaNierZambia | Cambodia | Kazakhstan | South Africa |
| ChileKyrgyzstanSurinameChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMyanmarUnited Arab EmiratesFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNigerZambia | Canada | Kenya | South Sudan |
| ChinaLaosSwedenColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMyanmarUnited Arab EmiratesEthiopiaNamibiaUnited States of AmericaFranceNew ZealandUzbekistanGermanyNigerZambia | Central African Republic | Korea | Spain |
| ColombiaLuxembourgSwitzerlandCongo (Brazzaville)MadagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMyanmarUnited Arab EmiratesEthiopiaNamibiaUnited KingdomFinlandNamibiaUnited States of AmericaFranceNew ZealandVietnamGhanaNigerZambia | Chile | Kyrgyzstan | Suriname |
| Congo (Brazzaville)MadagascarTaiwanCongo (Brazzaville)MalagascarTaiwanCzech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFranceNetherlandsUzbekistanGermanyNigerXienamGhanaNigerZambia | China | Laos | Sweden |
| Czech RepublicMalaysiaTajikistanDjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited States of AmericaFranceNew ZealandUzbekistanGermanyNigerZambia | Colombia | Luxembourg | Switzerland |
| DjiboutiMaliTanzaniaDRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNigerZambia | Congo (Brazzaville) | Madagascar | Taiwan |
| DRCMexicoThailandEcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNew ZealandVietnamGhanaNigerZambia | Czech Republic | Malaysia | Tajikistan |
| EcuadorMongoliaTurkeyEgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | Djibouti | Mali | Tanzania |
| EgyptMoroccoUgandaEstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | DRC | Mexico | Thailand |
| EstoniaMozambiqueUnited Arab EmiratesEthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | Ecuador | Mongolia | Turkey |
| EthiopiaMyanmarUnited KingdomFinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | Egypt | Morocco | Uganda |
| FinlandNamibiaUnited States of AmericaFranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | Estonia | Mozambique | United Arab Emirates |
| FranceNetherlandsUzbekistanGermanyNew ZealandVietnamGhanaNigerZambia | Ethiopia | Myanmar | United Kingdom |
| GermanyNew ZealandVietnamGhanaNigerZambia | Finland | Namibia | United States of America |
| Ghana Niger Zambia | France | Netherlands | Uzbekistan |
| 5 | Germany | New Zealand | Vietnam |
| Guinea Nigeria Zimbabwe | Ghana | Niger | |
| | Guinea | Nigeria | Zimbabwe |