SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Richard Mark</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol HALLIBURTON CO [ HAL ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 3000 N. SAM HOUSTON PKWY E.						3. Date of Earliest Transaction (Month/Day/Year) 10/29/2020								X Officer (give title Other (specify below) below) President - Western Hemisphere					
(Street) HOUSTON TX 77032						4. If Amendment, Date of Original Filed (Month/Day/Year)							Line	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S		(Zip)																
Table I - Non-Deriva           1. Title of Security (Instr. 3)         2. Transaction           2. Transaction         Date (Month/Day)						ion 2A. Deemed Execution Date			3. Transaction Code (Instr. 5)			es Acquired	(A) or	5. Amount of Securities Beneficially Owned		Form: Direct (D) or Indirect (I) (Instr. 4)		. Nature f Indirect seneficial Swnership	
									Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				nstr. 4)	
Common				10/29/2020			<u> </u>		М		2,134(1	) <b>A</b>	\$12.51	1 164,961.357 <sup>(3)(4</sup>			D		
Common	Stock				/2020				F		840(2)	D	\$12.51		21.357		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deen Executio if any (Month/D	ned n Date,	4. Transa Code ( 8)	ction	5. Number ion of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and of Securitic Underlying Derivative (Instr. 3 and	l Amount es l Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	e Ownership s Form: ally Direct (D) or Indirect g (I) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units (10/2015)	(5)	10/29/2020			М			2,134	(6)		(6)	Common Stock	2,134	\$0	0		D		
Option to Buy Common Stock	\$31.65								01/05/20	010	01/05/2020	Common Stock	7,000		0 <sup>(7)</sup>		D		
Option to Buy Common Stock	\$40.83								01/01/20	011	01/01/2021	Common Stock	4,600		4,600		D		
Option to Buy Common Stock	\$34.15								01/03/20	012	01/03/2022	Common Stock	6,400		6,400		D		
Option to Buy Common Stock	\$36.31								01/03/20	013	01/03/2023	Common Stock	13,900		13,900	)	D		
Option to Buy Common Stock	\$50.01								01/02/20	014	01/02/2024	Common Stock	7,900		7,900		D		
Option to Buy Common Stock	\$39.49								01/02/20	15	01/02/2025	Common Stock	14,807		14,807	,	D		
Option to Buy Common Stock	\$34.48								01/04/20	16	01/04/2026	Common Stock	28,604		28,604	ŀ	D		
Option to Buy Common Stock	\$55.68								01/03/20	017	01/03/2027	Common Stock	17,119		17,119	,	D		
Option to Buy Common Stock (01/2018)	\$49.61								01/02/20	18	01/02/2028	Commom Stock	24,019		24,019	,	D		

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Buy Common Stock (12/2018)	\$27.14							12/20/2018	12/20/2028	Common Stock	43,924		43,924	D	

Explanation of Responses:

1. Vesting of 20% of restricted stock units granted on October 27, 2015.

2. Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.

3. Includes 1,012.966 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the periods ended March 31, 2020.

4. Includes 2,924.861 shares of stock accumulated through dividend reinvestment as of September 30, 2020.

5. Each Restricted Stock Unit represents the right to receive one share of common stock.

6. The restricted stock units vest in five equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person upon vesting.

7. Stock option expired January 5, 2020.

Bruce Metzinger, by Power of <u>11/02/2020</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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