SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burder
hours per response: 0.5

| | Iress of Reporting | | 2. Issuer Name and Ticker or Trading Symbol <u>HALLIBURTON CO</u> [HAL] | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | |
|-----------------------------------------------------|--------------------|-------|-----------------------------------------------------------------------------------|-------------------|--------------------------------------------------------------------------------------------------|--------------------------|--|--|--|
| | | ± | | | Officer (give title | | | | |
| 4 0 | | | 3. Date of Earliest Transaction (Month/Day/Year) | X | below) | Other (specify below) | | | |
| (Last)(First)(Middle)3000 N. SAM HOUSTON PARKWAY E. | | | 12/03/2014 | | EVP - Chief Financial Officer | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | ridual or Joint/Group Fili | ng (Check Applicable | | | |
| HOUSTON | TX | 77032 | | X | Form filed by One Re | eporting Person | | | |
| P | | | | | Form filed by More th | nan One Reporting | | | |
| (City) | (State) | (Zip) | | | Person | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|------------|-------------------------------------------------------------|-----------------------------|---|----------------------------------------------------------------------|---------------|------------|---------------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) | |
| Common Stock | 12/03/2014 | | A | | 25,000(1) | A | \$40.75(2) | 194,127 | D | | |
| Common Stock | 12/03/2014 | | D | | 4,884 ⁽³⁾ | D | \$41.21 | 189,243 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) Code (Instr. 9) Code (Instr. 9) Code (Instr. 9) Code (Instr. 9) Code (Instr. 10) Code (Instr. 10) C | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|---|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Option to Buy Common Stock | \$40.75 | 12/03/2014 | | A | | 46,400 | | 12/03/2014 ⁽⁴⁾ | 12/03/2024 | Common Stock | 46,400 | \$0 | 46,400 | D | |
| Option to Buy Common Stock | \$50.62 | | | | | | | 12/04/2013 | 12/04/2023 | Common Stock | 35,400 | | 35,400 | D | |
| Option to Buy Common Stock | \$33.5 | | | | | | | 12/05/2012 | 12/05/2022 | Common Stock | 44,100 | | 44,100 | D | |
| Option to Buy Common Stock | \$35.57 | | | | | | | 12/06/2011 | 12/06/2021 | Common Stock | 33,200 | | 33,200 | D | |
| Option to Buy Common Stock | \$39.19 | | | | | | | 12/01/2010 | 12/01/2020 | Common Stock | 28,100 | | 28,100 | D | |
| Option to Buy Common Stock | \$36.9 | | | | | | | 12/05/2007 | 12/05/2017 | Common Stock | 12,000 | | 12,000 | D | |
| Option to Buy Common Stock | \$35.67 | | | | | | | 02/13/2008 | 02/13/2018 | Common Stock | 11,500 | | 11,500 | D | |

Explanation of Responses:

1. Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.

2. On December 3, 2014, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$40.75.

3. Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.

4. The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Remarks:

Robert L. Hayter, by Power of

Attorney

12/05/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.