SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Geer Charles Jr.						2. Issuer Name and Ticker or Trading Symbol <u>HALLIBURTON CO</u> [HAL]							neck all app	tionship of Reporting all applicable) Director			
(Last)	(Fi SAM HOU		3. Date of Earliest Transaction (Month/Day/Year) 12/07/2021								Officer (give title O			.0% Owner Other (specify Jelow) troller			
(Street) HOUST (City)	_	= 4. If A	Amendn	nent, Date	of Origin	al File	ed (Month/Da	ay/Year)	Lin	e) X Form	ı filed by On ı filed by Mo	p Filing (Che e Reporting I re than One	Perso	n			
		Tab	le I - No	on-Deriv	vative	Secu	rities Ac	quired	l, Di	sposed o	of, or Be	eneficia	lly Owne	ed			
1. Title of Security (Instr. 3) Date (Month/Day						Execution Date,		Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			Benefi Owned	ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
								Code	v	Amount	(A) or (D)	Price	Transa	Reported Transaction(s) (Instr. 3 and 4)		ľ	(Instr. 4)
Common Stock 12/07/2								F		673 ⁽¹⁾	D	\$22.02	⁽²⁾ 57,	233.765	D		
Common Stock 12/07/2								F		590 ⁽¹⁾	D	\$22 ⁽³⁾) 57,8	67.651 ⁽⁴⁾	D		
		T	able II							oosed of, converti			y Owned				
					4. Transac Code (Ir	tion o	of	6. Date Exercisable and Expiration Date (Month/Dav/Year)			7. Title ar Amount o Securities	of	8. Price of 9. Nu Derivative deriv Security Secu		e Owne	rship	11. Nature of Indirect Beneficial

Lerivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Iransaction Date (Month/Day/Year)	SA. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instriand 5	rities lired r osed) r. 3, 4	6. Date Exercise Expiration Da (Month/Day/N	ate	7. The and Amount o Securities Underlyin Derivative (Instr. 3 ar	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Buy Common Stock	\$31.44							12/05/2018	12/05/2028	Common Stock	8,700		8,700	D	
Option to Buy Common Stock	\$43.38							12/06/2017	12/06/2027	Common Stock	5,800		5,800	D	
Option to Buy Common Stock	\$53.54							12/07/2016	12/07/2026	Common Stock	5,100		5,100	D	
Option to Buy Common Stock	\$38.95							12/02/2015	12/02/2025	Common Stock	8,700		8,700	D	
Option to Buy Common Stock	\$40.75							12/03/2014	12/03/2024	Common Stock	8,700		8,700	D	

Explanation of Responses:

1. Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.

2. The shares vested on December 2, 2021. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on December 2, 2021 was \$22.02.

3. The shares vested on December 4, 2021 and December 5, 2021. December 4, 2021 and December 5, 2021 were non-market dates. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on December 3, 2021 was \$22.00.

4. Includes 1,223.886 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the periods ending March 31, 2021, June 30, 2021, and September 30, 2021.

/s/ Bruce A. Metzinger, by Power of Attorney 12/09/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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