SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

1. Name and Address of Reporting Person[*] Carre Eric	2. Issuer Name **and** Ticker or Trading Symbol HALLIBURTON CO [HAL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
(Last) (First) (Middle) 3000 N. SAM HOUSTON PARKWAY E.	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2023	Director 10% Owner X Officer (give title below) Other (specify below) EVP & Chief Financial Officer
(Street) HOUSTON TX 77032	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City) (State) (Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		F		43,204[(1)]	D	$37.18[(2)]	194,766.842	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Buy Common Stock	$31.44							12/05/2018	12/05/2028	Common Stock	50,100		50,100	D	
Option to Buy Common Stock	$43.38							12/06/2017	12/06/2027	Common Stock	34,425		34,425	D	
Option to Buy Common Stock	$53.54							12/07/2016	12/07/2026	Common Stock	30,100		30,100	D	
Option to Buy Common Stock	$34.48							01/04/2016	01/04/2026	Common Stock	9,534		9,534	D	
Option to Buy Common Stock	$39.49							01/02/2015	01/02/2025	Common Stock	24,750		24,750	D	
Option to Buy Common Stock	$50.01							01/02/2014	01/02/2024	Common Stock	8,300		8,300	D	

Explanation of Responses:

1. Shares transferred to Halliburton Company for payment for federal tax withholding obligations issued under the Halliburton Company Performance Unit Program, as required by the award agreement.

2. The Performance Unit shares were issued on February 27, 2023. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on February 27, 2023 was $37.18.

/s/ Sarah I. Rubenfeld, by Power of Attorney   03/06/2023

** Signature of Reporting Person   Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.