

| OMB APPROVAL | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

| | | | | | | | | |
|---|-----------|--------------|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person* <u>Richard Mark</u> | | | 2. Issuer Name and Ticker or Trading Symbol <u>HALLIBURTON CO [HAL]</u> | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) _____ <u>President - Western Hemisphere</u> | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) <u>12/06/2023</u> | | | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| 3000 N. SAM HOUSTON PKWY E. | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | |
| (Street) | | | | | | | | |
| <u>HOUSTON</u> | <u>TX</u> | <u>77032</u> | | | | | | |
| (City) | (State) | (Zip) | | | | | | |
| <p>Rule 10b5-1(c) Transaction Indication</p> <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 12/06/2023 | | F | | 4,140 ⁽¹⁾ | D | \$37.37 ⁽²⁾ | 355,595.679 ⁽⁴⁾⁽⁵⁾ | D | |
| Common Stock | 12/06/2023 | | F | | 7,383 ⁽¹⁾ | D | \$37.71 ⁽³⁾ | 348,212.679 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | |
| Option to Buy Common Stock (12/2018) | \$27.14 | | | | | | | 12/20/2018 | 12/20/2028 | Common Stock | 43,924 | 43,924 | D | |
| Option to Buy Common Stock (01/2018) | \$49.61 | | | | | | | 01/02/2018 | 01/02/2028 | Common Stock | 24,019 | 24,019 | D | |
| Option to Buy Common Stock | \$55.68 | | | | | | | 01/03/2017 | 01/03/2027 | Common Stock | 17,119 | 17,119 | D | |
| Option to Buy Common Stock | \$34.48 | | | | | | | 01/04/2016 | 01/04/2026 | Common Stock | 28,604 | 28,604 | D | |
| Option to Buy Common Stock | \$39.49 | | | | | | | 01/02/2015 | 01/02/2025 | Common Stock | 14,807 | 14,807 | D | |
| Option to Buy Common Stock | \$50.01 | | | | | | | 01/02/2014 | 01/02/2024 | Common Stock | 7,900 | 7,900 | D | |

Explanation of Responses:

- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- The stock vested on December 4, 2023 and is related to stock granted on December 4, 2019. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on December 4, 2023 was \$37.37. Shares were withheld for tax reporting on December 6, 2023.
- The stock vested on December 2, 2023 and is related to stock granted on December 2, 2020. December 2, 2023 was a non-market date. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on December 1, 2023 was \$37.71. Shares were withheld for tax reporting on December 6, 2023.
- Includes 663.834 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the period ending March 31, 2023.
- Includes 2,493,548 shares of stock accumulated through dividend reinvestment as of September 30, 2023.

/s/ Sarah I. Rubenfeld, by
Power of Attorney

12/08/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.