SEC Form 4

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | 2. Issuer Name **and** Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |
|---|---|---|
| MARTIN J LANDIS | HALLIBURTON CO [ HAL ] | |

| (Last) (First) (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/01/2016 |
|---|---|
| PLATTE RIVER VENTURES, L.L.C. 200 FILLMORE STREET, SUITE 200 | |

Relationship: X Director | 10% Owner | Officer (give title below) | Other (specify below)

| (Street) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) |
|---|---|---|
| DENVER CO 80206 | | X Form filed by One Reporting Person |
| (City) (State) (Zip) | | Form filed by More than One Reporting Person |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | | | | | | | | 35,162 | D | |
| Common Stock | | | | | | | | 61,602 | I | By Martin Enterprises L.L.C. |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| 2016 Restricted Stock Units | (1) | 08/01/2016 | | A | | 4,177 | | (2) | (2) | Common Stock | 4,177 | $0 | 4,177 | D | |
| 2015 Restricted Stock Units | (1) | | | | | | | (2) | (2) | Common Stock | 4,539.747[3] | | 4,539.747[3] | D | |
| 2014 Restricted Stock Units | (1) | | | | | | | (2) | (2) | Common Stock | 2,693.293[3] | | 2,693.293[3] | D | |
| 2013 Restricted Stock Units | (1) | | | | | | | (2) | (2) | Common Stock | 3,766,229[3] | | 3,766.229[3] | D | |
| 2012 Restricted Stock Units | (1) | | | | | | | (2) | (2) | Common Stock | 5,573.601[3] | | 5,573.601[3] | D | |

**Explanation of Responses:**

1. Each restricted stock unit represents a right to receive one share of the Company's common stock.

2. The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.

3. Includes dividend equivalents units through July 31, 2016.

**Remarks:**

| Robert L. Hayter, by Power of Attorney | 08/02/2016 |
|---|---|
| ** Signature of Reporting Person | Date |