FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|     | OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|-----|--------------------------|-----------|--|--|--|--|--|--|--|--|
|     | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|     | Estimated average burden |           |  |  |  |  |  |  |  |  |
| - 1 | hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |   |  |   |         | or   | Secti   | on 30(f | n) of th | e investm        | ent C  | ompany Ac                 | t of 1940  |   |   |  |   |  |                                       |  |
|--|---|--|---|---------|--|---|---------|----------|------------------|--|---------------------------|--|---|---|--|---|--|---------------------------------------|--|
| Name and Address of Reporting Person*     Richard Mark         |   |  |   |         |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HALLIBURTON CO [ HAL ] |         |          |                  |  |                           |  |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |  |   |  |                                       |  |
| (Last) (First) (Middle) 3000 N. SAM HOUSTON PKWY E.            |   |  |   |         |  | 3. Date of Earliest Transaction (Month/Day/Year) 01/07/2021               |         |          |                  |  |                           |  |   | X Officer (give title Other (specify below)  President - Western Hemisphere                 |  |   |  |                                       |  |
| (Street) HOUSTON TX 77032                                      |   |  |   | 4.1     | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |         |          |                  |  |                           |  | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting |   |  |   |  |                                       |  |
| (City) (State) (Zip)   |   |  |   |         |  |   |         |          |                  |  |                           |  |   | Person  |  |   |  |                                       |  |
|  |   |  | le I - No   |         |  | _   |         |          |                  | l, Di  | Ť                         |  |   | ally Owne   |  |   |  |                                       |  |
| 1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day) |   |  |   |         | y/Year) Execution Date, if any (Month/Day/Year)          |   | Code (  |          | r. (A) or        |  |                           | Securi<br>Benefi<br>Owner<br>Repor   | Securities<br>Beneficially<br>Owned Following<br>Reported   |   | n: Direct<br>or Indirect   I<br>nstr. 4)   ( | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |                                       |  |
| Common Stock 01/07/20  |   |  |   |         |  |   | /2021   |          |                  | V  | Amount 932 <sup>(1)</sup> | (A) or<br>(D)  | Price   | (Instr.   | Transaction(s) (Instr. 3 and 4)  249,538.16  |   | D  |                                       |  |
| Collillion   | Stock   | -  | Fabla II  | 01/07   |  | <u> </u>  |         | - ^ -    | F                | Dia  |                           |  | \$18.8  |   |  | <u> </u>  | Б  |                                       |  |
|  |   | l  | iabie ii  |         |  |   |         |          |                  |  |                           | i, or Ben<br>ible secu   |   | ly Owned  |  |   |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)            | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | n Date, |  | Transaction<br>Code (Instr.   |         | n of     |                  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                           | 7. Title and Amou<br>of Securities<br>Underlying<br>Derivative Securit<br>(Instr. 3 and 4) |   | 8. Price of Derivative Security (Instr. 5)  |  | e<br>s<br>ally<br>g   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   | ·       | Code   | v   | (A)     | (D)      | Date<br>Exercisa |  | Expiration<br>Date        | Title  | Amoun<br>or<br>Numbe<br>of<br>Shares  | 1   |  |   |  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$34.15   |  |   |         |  |   |         |          | 01/03/20         | 12   | 01/03/2022                | Common<br>Stock  | 6,400   |   | 6,400  | 0   | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$36.31   |  |   |         |  |   |         |          | 01/03/20         | 13   | 01/03/2023                | Common<br>Stock  | 13,90   | 0   | 13,900                                       |   | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$50.01   |  |   |         |  |   |         |          | 01/02/20         | 14   | 01/02/2024                | Common<br>Stock  | 7,900   |   | 7,900  |   | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$39.49   |  |   |         |  |   |         |          | 01/02/20         | 15   | 01/02/2025                | Common<br>Stock  | 14,80   | 7   | 14,80  | )7  | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$34.48   |  |   |         |  |   |         |          | 01/04/20         | 16   | 01/04/2026                | Common<br>Stock  | 28,60   | 4   | 28,60  | )4  | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$55.68   |  |   |         |  |   |         |          | 01/03/20         | 17   | 01/03/2027                | Common<br>Stock  | 17,119  | Ð   | 17,11  | 19  | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock<br>(01/2018)               | \$49.61   |  |   |         |  |   |         |          | 01/02/20         | 18   | 01/02/2028                | Commom<br>Stock  | 24,01   | 9   | 24,01  | 19  | D  |                                       |  |
| Option to<br>Buy<br>Common<br>Stock                            | \$27.14   |  |   |         |  |   |         |          | 12/20/20         | 18   | 12/20/2028                | Common<br>Stock  | 43,92   | 4   | 43,92  | _ <u></u>   | D  |                                       |  |

## Explanation of Responses:

(12/2018)

- 1. Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- 2. The shares vested on January 4, 2021. The closing price of Halliburton Company's Common Stock on the New York Stock Exchange on January 4, 2021 was \$18.83.

Bruce Metzinger, by Power of <u>Attorney</u>

01/08/2021

\*\* Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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