

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM SD  
SPECIALIZED DISCLOSURE REPORT

**HALLIBURTON COMPANY**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation or organization)

**001-03492**  
(Commission  
File Number)

**No. 75-2677995**  
(IRS Employer  
Identification No.)

**3000 North Sam Houston Parkway East**  
**Houston, Texas**  
(Address of principal executive offices)

**77032**  
(Zip Code)

**Eric Carre**  
**Executive Vice President and Chief Financial Officer**  
**(281) 871-7599**

(Name and telephone number, including area code, of the person to contact in connection with  
this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period January 1, 2023 to December 31, 2023.

Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended December 31, 2023.

## SECTION 1 - CONFLICT MINERALS DISCLOSURE

### Item 1.01 Conflict Minerals Disclosure and Report

Halliburton Company (the “Company” or “Halliburton”) conducted a reasonable country of origin inquiry (RCOI) of its suppliers to determine whether any conflict minerals, as defined by paragraph (d)(3) of Item 1.01 of Form SD, necessary to the functionality or production of a product manufactured or contracted to be manufactured by the Company during 2023 (the “Products”) originated in the Democratic Republic of the Congo or an adjoining country, as defined by paragraph (d)(1) of Item 1.01 of Form SD. Halliburton exercised due diligence on the source and chain of custody of its conflict minerals, as discussed in paragraph (c)(1) of Item 1.01 of Form SD, that conforms to the Organization for Economic Co-operation and Development (OECD) Due Diligence Framework for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.

#### *Conflict Minerals Disclosure*

In accordance with paragraph (c) of Item 1.01 of Form SD, Halliburton filed a Conflict Minerals Report (CMR) for the reporting period January 1, 2023 to December 31, 2023, as Exhibit 1.01 to this Form SD, and has made such report publicly available on Halliburton’s website, <http://ir.halliburton.com/phoenix.zhtml?c=67605&p=irol-sec>. Information on Halliburton’s website shall not constitute a part of this Form SD.

### Item 1.02 Exhibit

The Company has contemporaneously filed the CMR with this Form SD, which is attached as Exhibit 1.01.

## SECTION 2 - RESOURCE EXTRACTION ISSUER DISCLOSURE

### Item 2.01 Resource Extraction Issuer Disclosure and Report

Not Applicable.

## SECTION 3 - EXHIBITS

### Item 3.01 Exhibits

Exhibit 1.01 - [Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.](#)

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Date: May 31, 2024

**HALLIBURTON COMPANY**

By: /s/ Eric Carre  
Eric Carre  
Executive Vice President and Chief Financial Officer

# Conflict Minerals Report

## Section I - Introduction of Applicability

Pursuant to Rule 13p-1 under the Securities Exchange Act of 1934, this report was prepared for the reporting period January 1, 2023 to December 31, 2023 (the “Reporting Period”).

Halliburton Company (the “Company,” “Halliburton,” “we,” or “our”) is a leading provider of services and products to the upstream oil and natural gas industry. Halliburton has manufacturing operations in various locations, the most significant of which are located in the United States, Canada, Malaysia, Singapore, and the United Kingdom.

Halliburton comprises 14 product service lines (PSLs). The PSLs operate in two divisions: the Completion and Production division and the Drilling and Evaluation division. Our Project Management PSL works across both divisions and is the spearhead of our integrated-services strategy. Its financial results are included in the Drilling and Evaluation division. PSLs are primarily responsible and accountable for strategy, technology development, process development, people development and capital allocation.

### Completion and Production Division

- Artificial Lift
- Cementing
- Completion Tools
- Multi-Chem
- Pipeline & Process Services
- Production Enhancement
- Production Solutions

### Drilling and Evaluation Division

- Baroid
- Drill Bits & Services
- Landmark Software and Services
- Sperry Drilling
- Testing & Subsea
- Wireline & Perforating

### Supporting Both Divisions

- Project Management

During the Reporting Period, products manufactured, or contracted to be manufactured, contained one or more of the following minerals: tantalum, tin, tungsten, or gold (3TG). Listed below are examples of where 3TG may be present in Halliburton products:

| Metal  | Industry Applications   | Applications in Halliburton   |
|--|---|---|
| <b>Tantalum</b><br>Refined from Columbite-Tantalite (Coltan) | Capacitors, Resistors   | Tantalum Capacitors, Alloys   |
| <b>Tin</b><br>Refined from Cassiterite                       | Chemical Solutions, Capacitors Electrodes, Tin Alloys, Dioxide, Electroplating        | Integrated Circuits, Pins, Resistors, Capacitors, PCBs, and Soldering |
| <b>Tungsten</b><br>Refined from Wolframite                   | Tungsten Carbide, Alloy, Light Bulb, Heating Elements, and Tungsten Inert Gas Welding | Electrodes, Tungsten Carbide (Drill Bits), Welding                    |
| <b>Gold</b>  | Electrical Wiring, Connectors, Contact, and Gold Plating                              | Contacts, Pins, Connectors, Cable Harness, Wire Harness, Gold Plating |

## **Section II - Reasonable Country of Origin Inquiry (RCOI)**

Halliburton conducted an RCOI among our suppliers on the source and chain of custody of certain minerals necessary to the functionality or production of a product manufactured or contracted to be manufactured by the Company (the Products) during the Reporting Period to determine whether they originated from the Democratic Republic of the Congo (DRC) or an adjoining country.

The RCOI for the Reporting Period was designed in accordance with the Organization for Economic Co-operation and Development (OECD) Due Diligence Framework for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (the OECD Framework). Our suppliers were asked to complete the Conflict Minerals Reporting Template (CMRT), a survey tool developed by the Responsible Minerals Initiative (RMI), formerly known as the Conflict-Free Sourcing Initiative (CFSI). The CMRT is commonly utilized to identify smelters or refiners (SORs). Suppliers were required to upload their completed CMRT to our third-party platform.

### Section III - Design of Conflict Minerals Program and Due Diligence Measures

We are a downstream purchaser of products that include conflict minerals. We designed our program in accordance with the 5-Step OECD Framework as follows:

#### ***OECD Step 1: Establish strong company management systems***

- Maintained the Supplier Ethics Statement on our external website at <http://www.halliburton.com/en/about-us/supplier-relations/halliburton-supplier-ethics>, which reflects our commitment and expectation of supplier commitment to ethical business behavior, conflict-free sourcing, and human rights. Suppliers were reminded via our RCOI email;
- Maintained our Human Rights Statement on our external website at <http://www.halliburton.com/en/about-us/corporate-governance/human-rights-statement-halliburton>, which reflects our long-standing commitment to uphold human rights as defined by the United Nations Universal Declaration of Human Rights (UDHR);
- Established new Supplier Sustainability Principles on our external website at <http://www.halliburton.com/en/about-us/supplier-relations>, to enhance communication of expectations to suppliers regarding human rights and responsible business conduct;
- Continued to include clauses requiring conflict-free materials and products from suppliers in our Master Purchase Agreement (MPA) and standard Purchase Order Terms & Conditions templates. Additionally, Suppliers and their business partners are contractually bound by the MPA to protect and uphold the fundamental human rights of their employees as stated in the UDHR;
- Continued using our internal Conflict-Free Sourcing Policy which aligns our conflict minerals program description with the 5-step OECD Framework and makes our employees aware of their responsibilities under the act to use suppliers who do not source 3TG from uncertified SORs;
- Utilized a cross-functional internal team among the Procurement, Material Management, Finance, Information Technology, and Law departments led by our Procurement, Materials & Logistics (PM&L) Business Compliance & Optimization Group;
- Retained a third-party service provider to query our applicable suppliers using the CMRT;
- Continued using a system of controls and transparency by developing a due diligence process using the CMRT, including evaluating and scoring the risk presented by each smelter, refiner, and respondent (supplier providing a CMRT) based on the SORs indicated and due diligence program described;
- Continued utilizing a platform to upload supplier information. The platform tracked supplier responses on a real-time basis and allowed us to leverage better data reporting and to take action for non-responsive suppliers;
- Saved and archived relevant records in an electronic database on a SharePoint site relevant to each year's campaign;
- Educated suppliers upon request on Dodd-Frank action Section 1502, how the Conflict Mineral Rule applies to them and why it is important to respond to our RCOI; and
- Continued to make our Ethics Helpline available for suppliers to report any violations of the law or violations of our Code of Business Conduct. The RMI also established a grievance mechanism which its members, stakeholders, and the public can utilize to raise concerns about the initiative, the audit program, protocols, SOR operations that fall in-scope of the Responsible Minerals Assurance Process (RMAP), formerly the Conflict-Free Smelter Program (CFSP), audit quality and auditor competencies, minerals supply chains and upstream/downstream initiatives through RMI.

### ***OECD Step 2: Identify and assess risks in the supply chain***

- Identified in-scope suppliers, which are tier one suppliers that supply products to Halliburton that may contain 3TG. Selection was based on the following criteria:
  - Products supplied to Halliburton plants within the Reporting Period;
  - Harmonized Tariff Codes and Material Groups of supplied products that may contain metal;
  - Product sales from Halliburton procurement plants to third-party customers; and
- Performed validation of supplier data to confirm accuracy of vendor contact information prior to campaign launch;
- Established deadlines for suppliers' CMRT submissions, including requiring our tier one suppliers to provide an updated CMRT if additional information became available;
- Extended CMRT submission deadline in order to give suppliers additional time and increase the response rate;
- Followed up with non-responsive suppliers requesting their responses,;
- Conducted direct outreach through Halliburton PM&L to non-responsive tier one suppliers requesting their participation in the program;
- Utilized our third-party service provider to evaluate supplier CMRT responses for plausibility, consistency, and gaps;
- Analyzed and compared the SORs identified by the supply chain with the RMAP audit standards to determine which SORs are considered "DRC Conflict-Free"; and
- Retained status as a member of the RMI (Halliburton member code HALL), through which we:
  - support engagement by the RMI with SOR(s) and the obtainment of information on country of origin and transit and transportation routes used between mine and SORs;
  - support the RMI RMAP, which includes an assessment of whether SORs have carried out all five steps of due diligence for responsible supply chains of 3TG from the DRC and adjoining countries; and
  - support spot checks and/or audits at SOR facilities.

### ***OECD Step 3: Design and implement strategy to respond to identified risks***

- Maintained our risk management plan by reviewing the due diligence of suppliers and SORs;
- Performed risk mitigation efforts by following up with tier one suppliers for validation and additional information on their smelter data
- Responded to supplier and customer inquiries in a timely manner by both Halliburton and our third-party service provider;
- Provided findings and progress reports periodically to Halliburton senior management;
- Relevant Halliburton personnel attended webinars and reviewed online resources to learn and understand new developments and best practices;
- Initiated risk mitigation activities when high-risk SOR's were reported on a CMRT by one of the suppliers surveyed. High-risk SOR's are those identified with non-compliant status and that source from the DRC or adjoining countries.
- Created corrective action report on our 3<sup>rd</sup> party platform for tier one suppliers using a high-risk, non-compliant smelter and requested the supplier confirm if the non-compliant smelter was used in the goods supplied to Halliburton. If a supplier confirms or cannot identify that the smelter was used in goods supplied to Halliburton, then we instruct the supplier to remove the smelter from goods supplied to Halliburton or take steps to help the smelter become compliant.

***OECD Step 4: Carry out independent third-party audit of supply chain due diligence***

- Although we do not maintain direct relationships with SOR's or perform audits with upstream entities beyond our direct suppliers, we have retained status as a member of the RMI, by which we:
  - exercise leverage over upstream suppliers indirectly by requiring SORs to undergo an independent third-party audit, which requires due diligence, traceability, chain of custody, risk assessment, and mitigation activities upstream;
  - support the RMI's efforts to monitor whether SOR(s) demonstrate significant and measurable improvement within a reasonable time frame from the adoption of their risk management plans; and
  - support the RMI's independent third-party audits of the SOR's due diligence practices through the RMAP; and
- Reviewed meeting notes from the RMI Smelter Engagement Team (SET) and Due Diligence Team.

***OECD Step 5: Report on supply chain due diligence***

- Report annually on supply chain due diligence by filing with the SEC a Form SD and Conflict Minerals Report (CMR); and Upload CMR to our company website at <http://ir.halliburton.com/phoenix.zhtml?c=67605&p=irol-sec>, on an annual basis.

## **Section IV - Results of Due Diligence Measures**

A total of 1,085 suppliers were identified as in-scope for conflict mineral regulatory purposes and contacted as part of the RCOI process conducted by our third-party service provider. The response rate among these suppliers was 83% (899).

Of the responding suppliers, 67% (600) confirmed their supply to Halliburton did not contain 3TG. The remaining 33% (299) of suppliers used 613 different SORs, of which, 134 indicated sourcing from DRC or an adjoining country. Out of the 134 SORs, 29 were found to be non-compliant. Additionally, we will contact suppliers who listed a non-compliant SOR in their CMRT to determine if the SOR was used in our products. Action plans have been implemented to block unresponsive and non-compliant suppliers, and will be implemented to remove any non-compliant SORs from our supply chain, if applicable. Any supplier who does not comply with our expectations is subject to termination.

Halliburton received some incomplete responses from those surveyed. Some suppliers were unable to determine if they had provided conflict minerals originating from the DRC or an adjoining country, and other suppliers did not respond to the question. Since all CMRTs received were not submitted at a product-level, we do not have sufficient information to determine all SORs in our supply chain. The country-of-origin information for these incomplete responses was provided by our third-party service provider, based on information provided from the SORs as part of the RMAP and other interactions between the third-party service provider and the SORs.

A total of 159 suppliers (15%) did not respond to our request to complete a CMRT as required by our MPA, standard terms and conditions, and Supplier Ethics Statement. All non-responsive suppliers were blocked for purchasing until Halliburton CMRT requirements had been met.

## **Section V - Risk Mitigation for Future Due Diligence**

Halliburton will continually work toward a conflict-free supply chain with ethical sourcing of materials and products. There are many stakeholders that are dependent directly or indirectly on legal mining operations in the DRC or an adjoining country, which are not benefiting armed groups in that region. Halliburton promotes verifiable conflict-free sourcing from the DRC or an adjoining country.

Halliburton will take the following measures to enhance its due diligence on the source and chain of custody of conflict minerals by:

- Encouraging non-respondent suppliers to develop corrective action plans to promote compliance with our supplier requirements;
- Developing corrective action plans for suppliers using an active SOR that sources from the DRC or adjoining countries and has not received compliant or active status from RMI;
- Encouraging suppliers to evaluate the business relationship with SORs refusing to proceed with an independent third-party audit program;
- Providing assistance and education regarding the Dodd-Frank Act Section 1502 to suppliers to escalate down compliance requirements to more tiers of our supply chain; and
- Encouraging eligible SORs' identification from the suppliers.

*Reference: The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (Third Edition) can be found at <http://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf>.*



## **Section VI - Inherent Limitations on Due Diligence Measures**

As a downstream purchaser and user of conflict minerals, Halliburton's due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the necessary conflict minerals. Our due diligence processes are based on the necessity of seeking data from our tier one suppliers and those suppliers seeking similar information within their supply chains to identify the original sources of the necessary conflict minerals. Such sources of information may yield inaccurate or incomplete information and may be subject to fraud. Some of our suppliers were nonresponsive and many were uncertain or provided data at a company or division level, as further described below. Halliburton has undertaken measures to verify responses and minimize these limitations but cannot guarantee the accuracy of the responses provided.

Halliburton's supply chains are diverse between product service lines. Our products are many tiers removed from the SORs. To determine whether any 3TG was sourced from the DRC or an adjoining country, we relied on our tier one suppliers responding with accurate SOR identification information. Many suppliers provided responses with SOR information based on a company or divisional level, rather than a product-level specific to the materials and components we use. As such, the aggregated information set forth in Annex I and Annex II does not necessarily relate to materials or components used in Halliburton's products.

## ANNEX I - Smelters or Refiners (SORs)

The information in this Annex is an aggregation of data provided by Halliburton's suppliers and our own due diligence efforts as of May 15, 2024 and not a confirmation of conflict minerals contained in our products.

| Metal    | Smelter or Refiner Name  | Smelter Country | RMI Smelter ID | RMI Audit Status | Source from Covered Countries |
|----------|--|-----------------|----------------|------------------|-------------------------------|
| Gold     | L'Orfebre S.A.   | Andorra         | CID002762      | Compliant        | Yes                           |
| Gold     | ABC Refinery Pty Ltd.  | Australia       | CID002920      | Other            | Unknown                       |
| Gold     | Rio Tinto Group  | Australia       | CID002914      | Other            | Unknown                       |
| Gold     | Morris and Watson Gold Coast   | Australia       | CID002866      | Other            | Unknown                       |
| Gold     | Western Australian Mint (T/a The Perth Mint)                                 | Australia       | CID002030      | Compliant        | No                            |
| Gold     | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH                  | Austria         | CID002779      | Compliant        | Yes                           |
| Tantalum | Plansee SE Reutte  | Austria         | CID002556      | Compliant        | No                            |
| Tantalum | Plansee SE Liezen  | Austria         | CID002540      | Other            | No                            |
| Tungsten | Wolfram Bergbau und Hutten AG  | Austria         | CID002044      | Compliant        | Yes                           |
| Tantalum | Plansee SE   | Austria         | CID001368      | Other            | Unknown                       |
| Gold     | Value Trading  | Belgium         | CID003617      | Other            | Unknown                       |
| Tin      | Metallo Belgium N.V.   | Belgium         | CID002773      | Compliant        | Yes                           |
| Gold     | Industrial Refining Company  | Belgium         | CID002587      | Other            | No                            |
| Gold     | Umicore S.A. Business Unit Precious Metals Refining                          | Belgium         | CID001980      | Compliant        | No                            |
| Tin      | Metallo Belgium N.V.   | Belgium         | CID001143      | Other            | Unknown                       |
| Tin      | Operaciones Metalurgicas S.A.  | Bolivia         | CID001337      | Compliant        | Yes                           |
| Tin      | EM Vinto   | Bolivia         | CID000438      | Compliant        | Yes                           |
| Gold     | Coimpa Industrial LTDA   | Brazil          | CID004010      | Compliant        | Unknown                       |
| Tin      | Fabrica Auricchio Industria e Comercio Ltda.                                 | Brazil          | CID003582      | Compliant        | Unknown                       |
| Tin      | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | Brazil          | CID003486      | Compliant        | Unknown                       |
| Tin      | TRATHO Metal Quimica   | Brazil          | CID003474      | Compliant        | Unknown                       |
| Tungsten | Cronimet Brasil Ltda   | Brazil          | CID003468      | Compliant        | No                            |

|          |  |        |           |           |         |
|----------|--|--------|-----------|-----------|---------|
| Tungsten | Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. | Brazil | CID003427 | Other     | Yes     |
| Tantalum | AMG Mining Mibra Mine                                      | Brazil | CID003088 | Other     | Unknown |
| Tungsten | ACL Metais Eireli  | Brazil | CID002833 | Other     | No      |
| Tin      | Super Ligas  | Brazil | CID002756 | Compliant | Yes     |
| Tantalum | Resind Industria e Comercio Ltda.                          | Brazil | CID002707 | Compliant | No      |
| Tin      | Resind Industria e Comercio Ltda.                          | Brazil | CID002706 | Compliant | Yes     |
| Gold     | Marsam Metals  | Brazil | CID002606 | Other     | No      |
| Gold     | House of Currency of Brazil (Casa da Moeda do Brazil)      | Brazil | CID002601 | Other     | Unknown |
| Tin      | Melt Metais e Ligas S.A.                                   | Brazil | CID002500 | Other     | No      |
| Tin      | Magnu's Mineraias Metais e Ligas Ltda.                     | Brazil | CID002468 | Compliant | Yes     |
| Tin      | White Solder Metalurgia e Mineracao Ltda.                  | Brazil | CID002036 | Compliant | Yes     |
| Gold     | Umicore Brasil Ltda.                                       | Brazil | CID001977 | Other     | Unknown |
| Tin      | Soft Metais Ltda.  | Brazil | CID001758 | Compliant | Yes     |
| Tantalum | Mineracao Taboca S.A.                                      | Brazil | CID001175 | Compliant | Yes     |
| Tin      | Mineracao Taboca S.A.                                      | Brazil | CID001173 | Compliant | No      |
| Tantalum | LSM Brasil S.A.  | Brazil | CID001076 | Compliant | Yes     |
| Tin      | Estanho de Rondonia S.A.                                   | Brazil | CID000448 | Compliant | No      |
| Tin      | Cooperativa Metalurgica de Rondônia Ltda.                  | Brazil | CID000295 | Other     | Unknown |
| Gold     | AngloGold Ashanti Corrego do Sitio Mineracao               | Brazil | CID000058 | Compliant | Yes     |
| Gold     | Royal Canadian Mint  | Canada | CID001534 | Compliant | No      |
| Gold     | Asahi Refining Canada Ltd.                                 | Canada | CID000924 | Compliant | No      |
| Gold     | CCR Refinery - Glencore Canada Corporation                 | Canada | CID000185 | Compliant | Yes     |
| Tin      | American Iron and Metal                                    | Canada | CID000049 | Other     | Unknown |
| Gold     | Planta Recuperadora de Metales SpA                         | Chile  | CID002919 | Compliant | Yes     |
| Tungsten | Shinwon Tungsten (Fujian Shanghang) Co., Ltd.              | China  | CID004430 | Compliant | Unknown |
| Tantalum | Ximei Resources (Guizhou) Technology Co., Ltd.             | China  | CID003973 | Compliant | Unknown |
| Gold     | Dongwu Gold Group  | China  | CID003663 | Other     | Unknown |
| Tungsten | Yudu Ansheng Tungsten Co., Ltd.                            | China  | CID003662 | Other     | Unknown |
| Tungsten | Fujian Xinlu Tungsten Co., Ltd.                            | China  | CID003609 | Compliant | Unknown |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Tantalum | Yancheng Jinye New Material Technology Co., Ltd.            | China | CID003583 | Compliant | Unknown |
| Tungsten | Ganzhou Sunny Non-Ferrous Metals Co., Ltd.                  | China | CID003580 | Compliant | Unknown |
| Tin      | Dragon Silver Holdings Limited                              | China | CID003579 | Other     | Unknown |
| Tantalum | V&D New Materials (Jiangsu) Co., Ltd.                       | China | CID003498 | Compliant | Unknown |
| Tungsten | GEM Co., Ltd.   | China | CID003417 | Compliant | No      |
| Tin      | Gejiu City Fuxiang Industry and Trade Co., Ltd.             | China | CID003410 | Other     | No      |
| Tungsten | Fujian Ganmin RareMetal Co., Ltd.                           | China | CID003401 | Other     | Yes     |
| Tin      | Yunnan Yunfan Non-ferrous Metals Co., Ltd.                  | China | CID003397 | Compliant | No      |
| Tin      | Ma'anshan Weitai Tin Co., Ltd.                              | China | CID003379 | Other     | No      |
| Tin      | Dongguan CiEXPO Environmental Engineering Co., Ltd.         | China | CID003356 | Other     | No      |
| Tantalum | Jiujiang Janny New Material Co., Ltd.                       | China | CID003191 | Compliant | No      |
| Tin      | Chifeng Dajingzi Tin Industry Co., Ltd.                     | China | CID003190 | Compliant | No      |
| Tungsten | Hunan Litian Tungsten Industry Co., Ltd.                    | China | CID003182 | Other     | No      |
| Tantalum | RFH Metals & Chemicals Co., Ltd.                            | China | CID003159 | Compliant | Unknown |
| Tin      | Guangdong Hanhe Non-Ferrous Metal Co., Ltd.                 | China | CID003116 | Compliant | Yes     |
| Tin      | Xianghualing Tin Industry Co., Ltd.                         | China | CID002946 | Other     | Unknown |
| Gold     | Hung Cheong Metal Manufacturing Limited                     | China | CID002904 | Other     | Unknown |
| Tin      | Gejiu Jinye Mineral Company                                 | China | CID002859 | Other     | Unknown |
| Tin      | Guanyang Guida Nonferrous Metal Smelting Plant              | China | CID002849 | Other     | Unknown |
| Tin      | Gejiu Fengming Metallurgy Chemical Plant                    | China | CID002848 | Other     | Unknown |
| Tantalum | Jiangxi Tuohong New Raw Material                            | China | CID002842 | Compliant | Yes     |
| Tungsten | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | China | CID002830 | Other     | No      |
| Tin      | Jiangxi Yaosheng Tungsten Co., Ltd.                         | China | CID002819 | Other     | Unknown |

|          |  |       |           |           |         |
|----------|--|-------|-----------|-----------|---------|
| Tungsten | South-East Nonferrous Metal Company Limited of Hengyang City | China | CID002815 | Other     | Unknown |
| Tin      | Chofu Works  | China | CID002786 | Other     | Unknown |
| Gold     | Shenzhen CuiLu Gold Co., Ltd.                                | China | CID002750 | Other     | Unknown |
| Gold     | SuZhou ShenChuang recycling Ltd.                             | China | CID002743 | Other     | Unknown |
| Gold     | Hop Hing electroplating factory Zhejiang                     | China | CID002739 | Other     | Unknown |
| Tungsten | Jiangxi Dayu Longxintai Tungsten Co., Ltd.                   | China | CID002647 | Other     | Unknown |
| Tungsten | Ganzhou Haichuang Tungsten Co., Ltd.                         | China | CID002645 | Other     | Yes     |
| Tungsten | Ganzhou Beseem Ferrotungsten Co., Ltd.                       | China | CID002644 | Other     | Unknown |
| Tungsten | China Molybdenum Tungsten Co., Ltd.                          | China | CID002641 | Compliant | No      |
| Tin      | Hongqiao Metals (Kunshan) Co., Ltd.                          | China | CID002635 | Other     | Unknown |
| Gold     | Shandong Yanggu Xiangguang Co., Ltd.                         | China | CID002614 | Other     | Unknown |
| Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji              | China | CID002579 | Other     | Unknown |
| Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd. Yanglin           | China | CID002578 | Other     | Unknown |
| Tantalum | Taike Technology(Suzhou)Co.,Ltd.                             | China | CID002566 | Other     | Unknown |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd.              | China | CID002551 | Compliant | Yes     |
| Tungsten | Ganzhou Yatai Tungsten Co., Ltd.                             | China | CID002536 | Other     | Unknown |
| Tungsten | Ganxian Shirui New Material Co., Ltd.                        | China | CID002531 | Other     | Unknown |
| Gold     | Zhuzhou Smelting Group Co., Ltd                              | China | CID002529 | Other     | Unknown |
| Gold     | Shenzhen Zhonghenglong Real Industry Co., Ltd.               | China | CID002527 | Other     | No      |
| Gold     | Shandong Humon Smelting Co., Ltd.                            | China | CID002525 | Other     | No      |
| Gold     | Henan Yuguang Gold & Lead Co., Ltd.                          | China | CID002519 | Other     | Unknown |
| Tungsten | Dayu Jincheng Tungsten Industry Co., Ltd.                    | China | CID002518 | Other     | Unknown |

|          |  |       |           |           |         |
|----------|--|-------|-----------|-----------|---------|
| Tungsten | Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch | China | CID002513 | Compliant | No      |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd.                                   | China | CID002512 | Compliant | Yes     |
| Tantalum | XinXing HaoRong Electronic Material Co., Ltd.                                  | China | CID002508 | Compliant | Yes     |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd.                                  | China | CID002506 | Compliant | Yes     |
| Tantalum | FIR Metals & Resource Ltd.   | China | CID002505 | Compliant | Yes     |
| Tantalum | Guizhou Zhenhua Xinyun Technology Ltd., Kaili branch                           | China | CID002501 | Other     | Unknown |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd.   | China | CID002494 | Compliant | No      |
| Tungsten | Jiangxi Richsea New Materials Co., Ltd.  | China | CID002493 | Other     | Unknown |
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd.                              | China | CID002492 | Compliant | Yes     |
| Gold     | Yunnan Gold Mining Group Co., Ltd. (YGMG)                                      | China | CID002491 | Other     | Unknown |
| Tin      | Solder Court Ltd.  | China | CID002436 | Other     | Unknown |
| Tungsten | Xiamen Honglu Tungsten Molybdenum Co., Ltd.                                    | China | CID002428 | Other     | Unknown |
| Tin      | Sigma Tin Alloy Co., Ltd.  | China | CID002408 | Other     | Unknown |
| Tantalum | Nantong Tongjie Electrical Co., Ltd.   | China | CID002386 | Other     | Unknown |
| Tin      | Hulterworth Smelter  | China | CID002365 | Other     | Unknown |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd.   | China | CID002321 | Compliant | No      |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd.   | China | CID002320 | Compliant | Yes     |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd.  | China | CID002319 | Compliant | No      |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.                  | China | CID002318 | Compliant | No      |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd.                                   | China | CID002317 | Compliant | Yes     |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd.  | China | CID002316 | Compliant | No      |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd.  | China | CID002315 | Compliant | Yes     |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.                          | China | CID002313 | Other     | No      |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Gold     | Guangdong Jinding Gold Limited                        | China | CID002312 | Other     | No      |
| Tin      | Yunnan Malipo Baiyi Kuangye Co.                       | China | CID002309 | Other     | Unknown |
| Tantalum | Yichun Jin Yang Rare Metal Co., Ltd.                  | China | CID002307 | Other     | Unknown |
| Tin      | Lian Jing   | China | CID002281 | Other     | Unknown |
| Tin      | Guangxi Hua Tin Gold Minute Fee, Ltd.                 | China | CID002274 | Other     | Unknown |
| Gold     | Gold Refinery of Zijin Mining Group Co., Ltd.         | China | CID002243 | Compliant | No      |
| Tungsten | Zhuzhou Cemented Carbide Group Co., Ltd.              | China | CID002236 | Other     | Unknown |
| Tantalum | Zhuzhou Cemented Carbide Group Co., Ltd.              | China | CID002232 | Other     | Yes     |
| Gold     | Zhuhai toxic materials Monopoly Ltd.                  | China | CID002231 | Other     | Unknown |
| Gold     | Zhongyuan Gold Smelter of Zhongjin Gold Corporation   | China | CID002224 | Compliant | Yes     |
| Gold     | Zhongshan Poison Material Proprietary Co., Ltd.       | China | CID002221 | Other     | Unknown |
| Tin      | Zhongshan Jinye Smelting Co., Ltd                     | China | CID002220 | Other     | Unknown |
| Gold     | Zhongshan Hyper-Toxic Substance Monopolized Co., Ltd. | China | CID002219 | Other     | Unknown |
| Gold     | Zhongkuang Gold Industry Co., Ltd.                    | China | CID002214 | Other     | Unknown |
| Gold     | Zhe Jiang Guang Yuan Noble Metal Smelting Factory     | China | CID002205 | Other     | Unknown |
| Gold     | Zhaojun Maifu   | China | CID002201 | Other     | Unknown |
| Tungsten | Zhangzhou Chuen Bao Apt Smeltery Co., Ltd.            | China | CID002195 | Other     | Unknown |
| Tin      | Yunnan Tin Company Limited                            | China | CID002180 | Compliant | Yes     |
| Tin      | Yunnan Industrial Co., Ltd.                           | China | CID002173 | Other     | Unknown |
| Tin      | Yunnan Gejiu Zili Metallurgy Co. Ltd.                 | China | CID002166 | Other     | Unknown |
| Tin      | Yunnan Copper Zinc Industry Co., Ltd.                 | China | CID002164 | Other     | Unknown |
| Tin      | Yunnan Chenggo Electric Smelting Plant                | China | CID002162 | Other     | Unknown |
| Tin      | Yunnan Chengfeng Non-ferrous Metals Co., Ltd.         | China | CID002158 | Compliant | No      |
| Tin      | Yuecheng Tin Co., Ltd.                                | China | CID002147 | Other     | Unknown |
| Tin      | Yiquan Manufacturing                                  | China | CID002123 | Other     | Unknown |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Tin      | Yifeng Tin                                      | China | CID002121 | Other     | Unknown |
| Tin      | XURI  | China | CID002099 | Other     | Unknown |
| Tungsten | Xinhai Rendan Shaoguan Tungsten Co., Ltd.       | China | CID002095 | Other     | No      |
| Tin      | Xin Furukawa Metal ( Wuxi ) Co., Ltd.           | China | CID002090 | Other     | Unknown |
| Tungsten | Xiamen Tungsten Co., Ltd.                       | China | CID002082 | Compliant | Yes     |
| Gold     | Xiamen Jinbo Metal Co., Ltd.                    | China | CID002076 | Other     | Unknown |
| Gold     | Wuzhong Group                                   | China | CID002063 | Other     | Unknown |
| Tin      | Wujiang City Luxe Tin Factory                   | China | CID002057 | Other     | Unknown |
| Gold     | Wang Ting                                       | China | CID002023 | Other     | Unknown |
| Gold     | Viagra Di precious metals (Zhaoyuan) Co., Ltd.  | China | CID002009 | Other     | Unknown |
| Tin      | Top-Team Technology (Shenzhen) Ltd.             | China | CID001954 | Other     | Unknown |
| Gold     | Tongling Nonferrous Metals Group Co., Ltd.      | China | CID001947 | Other     | No      |
| Tin      | Tong Long                                       | China | CID001943 | Other     | Unknown |
| Tin      | Tin Plating Gejiu                               | China | CID001932 | Other     | Unknown |
| Tin      | Tianshui Ling Bo Technology Co., Ltd.           | China | CID001929 | Other     | Unknown |
| Tin      | Three green surface technology limited company  | China | CID001920 | Other     | Unknown |
| Gold     | The Refinery of Shandong Gold Mining Co., Ltd.  | China | CID001916 | Compliant | Yes     |
| Gold     | Great Wall Precious Metals Co., Ltd. of CBPM    | China | CID001909 | Other     | No      |
| Tin      | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.  | China | CID001908 | Other     | No      |
| Tin      | Taicang City Nancang Metal Material Co., Ltd.   | China | CID001845 | Other     | Unknown |
| Tin      | Suzhou Nuonengda Chemical Co., Ltd.             | China | CID001822 | Other     | Unknown |
| Gold     | Super Dragon Technology Co., Ltd.               | China | CID001810 | Other     | No      |
| Gold     | Sino-Platinum Metals Co., Ltd.                  | China | CID001745 | Other     | Unknown |
| Gold     | Sichuan Tianze Precious Metals Co., Ltd.        | China | CID001736 | Compliant | No      |
| Tin      | Sichuan Guanghan Jiangnan casting smelters      | China | CID001731 | Other     | Unknown |
| Tin      | Shenzhen Hong Chang Metal Manufacturing Factory | China | CID001694 | Other     | Unknown |
| Gold     | Shenzhen Heng Zhong Industry Co., Ltd.          | China | CID001692 | Other     | Unknown |



|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Tin      | Shangrao Xuri Smelting Factory                          | China | CID001648 | Other     | Unknown |
| Tantalum | Shanghai Jiangxi Metals Co., Ltd.                       | China | CID001634 | Other     | Unknown |
| Gold     | Shandong Zhaojin Gold & Silver Refinery Co., Ltd.       | China | CID001622 | Compliant | No      |
| Gold     | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | China | CID001619 | Other     | No      |
| Gold     | Shandong penglai gold smelter                           | China | CID001616 | Other     | Unknown |
| Gold     | Shandong Hengbang Smelter Co., Ltd.                     | China | CID001612 | Other     | Unknown |
| Gold     | Shandon Jin Jinyin Refining Limited                     | China | CID001607 | Other     | Unknown |
| Tin      | Shan Tou Shi Yong Yuan Jin Shu Zai Sheng Co., Ltd.      | China | CID001606 | Other     | Unknown |
| Gold     | Shan Tou Shi Yong Yuan Jin Shu Zai Sheng Co., Ltd.      | China | CID001605 | Other     | Unknown |
| Gold     | Shan Dong Huangjin                                      | China | CID001604 | Other     | Unknown |
| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd.           | China | CID001522 | Compliant | Yes     |
| Gold     | Realized the Enterprise Co., Ltd.                       | China | CID001515 | Other     | Unknown |
| Gold     | Penglai Penggang Gold Industry Co., Ltd.                | China | CID001362 | Other     | No      |
| Tin      | Old City Metals Processing Co., Ltd.                    | China | CID001332 | Other     | Unknown |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd.              | China | CID001277 | Compliant | Yes     |
| Tin      | Jiangxi New Nanshan Technology Ltd.                     | China | CID001231 | Compliant | No      |
| Tin      | Minmetals Ganzhou Tin Co. Ltd.                          | China | CID001179 | Other     | Unknown |
| Tin      | Ming Li Jia smelt Metal Factory                         | China | CID001177 | Other     | Unknown |
| Gold     | Metalor Technologies (Hong Kong) Ltd.                   | China | CID001149 | Compliant | Yes     |
| Gold     | Metalor Technologies (Suzhou) Ltd.                      | China | CID001147 | Compliant | No      |
| Tin      | Ma An Shan Shu Guang Smelter Corp.                      | China | CID001098 | Other     | Unknown |
| Gold     | Luoyang Zijin Yinhui Gold Refinery Co., Ltd.            | China | CID001093 | Other     | No      |
| Tin      | China Tin Group Co., Ltd.                               | China | CID001070 | Compliant | No      |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Tin      | Linwu Xianggui Ore Smelting Co., Ltd.                           | China | CID001063 | Other     | Unknown |
| Gold     | Lingbao Jinyuan Tonghui Refinery Co., Ltd.                      | China | CID001058 | Other     | No      |
| Gold     | Lingbao Gold Co., Ltd.  | China | CID001056 | Other     | No      |
| Tantalum | King-Tan Tantalum Industry Ltd.                                 | China | CID000973 | Other     | Unknown |
| Tin      | Gejiu Kai Meng Industry and Trade LLC                           | China | CID000942 | Other     | No      |
| Tin      | Ju Tai Industrial Co., Ltd.                                     | China | CID000934 | Other     | Unknown |
| Tantalum | Jiujiang Tanbre Co., Ltd.                                       | China | CID000917 | Compliant | Yes     |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd.                     | China | CID000914 | Compliant | Yes     |
| Gold     | Jinlong Copper Co., Ltd.  | China | CID000909 | Other     | Unknown |
| Gold     | Jin Jinyin Refining Co., Ltd.                                   | China | CID000884 | Other     | Unknown |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd.                     | China | CID000875 | Other     | Yes     |
| Tin      | Jiangxi Shunda Huichang Kam Tin Co., Ltd.                       | China | CID000873 | Other     | Unknown |
| Tungsten | Ganzhou Non-ferrous Metals Smelting Co., Ltd.                   | China | CID000868 | Other     | Unknown |
| Gold     | Jiangxi Copper Co., Ltd.  | China | CID000855 | Compliant | Yes     |
| Tin      | Jiang Xi Jia Wang Technology Tin Products Environmental Ltd.    | China | CID000841 | Other     | Unknown |
| Tin      | Jiang Jia Wang Technology Co.                                   | China | CID000840 | Other     | Unknown |
| Gold     | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | China | CID000801 | Compliant | No      |
| Gold     | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.             | China | CID000773 | Other     | Yes     |
| Tungsten | Hunan Jintai New Material Co., Ltd.                             | China | CID000769 | Other     | No      |
| Gold     | Hunan Chenzhou Mining Co., Ltd.                                 | China | CID000767 | Other     | No      |
| Tungsten | Hunan Chenzhou Mining Co., Ltd.                                 | China | CID000766 | Compliant | Yes     |
| Tin      | Huichang Jinshunda Tin Co., Ltd.                                | China | CID000760 | Other     | No      |
| Tin      | Hezhou Jinwei Tin Co., Ltd.                                     | China | CID000720 | Other     | Unknown |
| Gold     | Hetai Gold Mineral Guangdong Co., Ltd.                          | China | CID000718 | Other     | Unknown |
| Gold     | Heraeus Metals Hong Kong Ltd.                                   | China | CID000707 | Compliant | No      |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Gold     | Hangzhou Fuchunjiang Smelting Co., Ltd.                 | China | CID000671 | Other     | No      |
| Gold     | Hang Seng Technology                                    | China | CID000670 | Other     | Unknown |
| Gold     | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | China | CID000651 | Other     | No      |
| Tin      | Guangxi Zhongshan Jin Yi Smelting Co., Ltd.             | China | CID000628 | Other     | Unknown |
| Tin      | Guangxi Nonferrous Metals Group                         | China | CID000626 | Other     | Unknown |
| Tantalum | Guangdong Zhiyuan New Material Co., Ltd.                | China | CID000616 | Compliant | Yes     |
| Gold     | Guangdong Jinxian Gaoxin Cai Liao Gong Si               | China | CID000611 | Other     | Unknown |
| Gold     | Guangdong Hua Jian Trade Co., Ltd.                      | China | CID000605 | Other     | Unknown |
| Tin      | Gejiu Zili Mining And Metallurgy Co., Ltd.              | China | CID000555 | Other     | Yes     |
| Tin      | Gejiu Yunxi Group Corp.                                 | China | CID000553 | Other     | Unknown |
| Tin      | Gejiu Ye Lian Chang                                     | China | CID000551 | Other     | Unknown |
| Tin      | Gejiu Non-Ferrous Metal Processing Co., Ltd.            | China | CID000538 | Compliant | No      |
| Gold     | Refinery of Seemine Gold Co., Ltd.                      | China | CID000522 | Other     | No      |
| Tungsten | Fujian Jinxin Tungsten Co., Ltd.                        | China | CID000499 | Other     | Unknown |
| Tantalum | F&X Electro-Materials Ltd.                              | China | CID000460 | Compliant | Yes     |
| Tungsten | Duoluoshan  | China | CID000413 | Other     | Unknown |
| Tantalum | Duoluoshan  | China | CID000410 | Other     | Yes     |
| Tin      | Dongguan City Xida Soldering Tin Products Co.           | China | CID000376 | Other     | Unknown |
| Gold     | Daye Non-Ferrous Metals Mining Ltd.                     | China | CID000343 | Other     | No      |
| Tantalum | Guangdong Rising Rare Metals-EO Materials Ltd.          | China | CID000291 | Compliant | Yes     |
| Tungsten | CNMC (Guangxi) PGMA Co., Ltd.                           | China | CID000281 | Other     | No      |
| Tin      | CNMC (Guangxi) PGMA Co., Ltd.                           | China | CID000278 | Other     | Unknown |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd.                    | China | CID000258 | Compliant | Yes     |
| Tin      | YunXi   | China | CID000251 | Other     | Unknown |
| Tin      | Jiangxi Ketai Advanced Material Co., Ltd.               | China | CID000244 | Other     | Unknown |

|          |  |                                  |           |           |         |
|----------|--|----------------------------------|-----------|-----------|---------|
| Gold     | China National Gold Group Corporation                | China                            | CID000242 | Other     | Unknown |
| Tungsten | China Minmetals Non-ferrous Metals Holding Co., Ltd. | China                            | CID000239 | Other     | Unknown |
| Tin      | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.    | China                            | CID000228 | Compliant | No      |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd.                 | China                            | CID000218 | Compliant | No      |
| Tantalum | Changsha South Tantalum Niobium Co., Ltd.            | China                            | CID000211 | Compliant | Yes     |
| Gold     | Yunnan Copper Industry Co., Ltd.                     | China                            | CID000197 | Other     | Yes     |
| Tantalum | Anhui Herrman Impex Co.                              | China                            | CID000059 | Other     | Unknown |
| Tungsten | Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd. | China                            | CID002535 | Other     | Unknown |
| Tungsten | Dayu Weiliang Tungsten Co., Ltd.                     | China                            | CID000345 | Other     | Unknown |
| Gold     | Gold by Gold Colombia                                | Colombia                         | CID003641 | Compliant | Unknown |
| Gold     | Sancus ZFS (L'Orfebre, SA)                           | Colombia                         | CID003529 | Other     | Unknown |
| Gold     | C.I Metales Procesados Industriales SAS              | Colombia                         | CID003421 | Other     | No      |
| Gold     | SAFINA A.S.  | Czechia                          | CID002290 | Compliant | No      |
| Tin      | Mining Minerals Resources SARL                       | Democratic Republic of the Congo | CID004065 | Compliant | Yes     |
| Tantalum | 5D Production OU                                     | Estonia                          | CID003926 | Other     | Unknown |
| Tantalum | NPM Silmet AS  | Estonia                          | CID001200 | Compliant | Yes     |
| Gold     | WEEEREFINING   | France                           | CID003615 | Compliant | Unknown |
| Gold     | SAAMP  | France                           | CID002761 | Other     | No      |
| Gold     | Degussa Sonne / Mond Goldhandel GmbH                 | Germany                          | CID002867 | Other     | No      |
| Gold     | WIELAND Edelmetalle GmbH                             | Germany                          | CID002778 | Compliant | No      |
| Gold     | SAXONIA Edelmetalle GmbH                             | Germany                          | CID002777 | Other     | No      |
| Tantalum | H.C. Starck Smelting GmbH & Co. KG                   | Germany                          | CID002550 | Compliant | Yes     |
| Tantalum | H.C. Starck Hermsdorf GmbH                           | Germany                          | CID002547 | Compliant | Yes     |
| Tantalum | H.C. Starck GmbH Laufenburg                          | Germany                          | CID002546 | Other     | Unknown |
| Tantalum | H.C. Starck Tantalum and Niobium GmbH                | Germany                          | CID002545 | Compliant | Yes     |
| Tungsten | TANIOBIS Smelting GmbH & Co. KG                      | Germany                          | CID002542 | Compliant | Yes     |
| Tungsten | H.C. Starck Tungsten GmbH                            | Germany                          | CID002541 | Compliant | Yes     |
| Gold     | Heraeus Precious Metals GmbH & Co. KG                | Germany                          | CID000711 | Compliant | No      |

|          |   |           |           |           |         |
|----------|---|-----------|-----------|-----------|---------|
| Gold     | Heimerle + Meule GmbH                         | Germany   | CID000694 | Compliant | Yes     |
| Tungsten | H.C. Starck Tungsten GmbH                     | Germany   | CID000683 | Other     | Unknown |
| Tin      | Feinhutte Halsbrucke GmbH                     | Germany   | CID000466 | Compliant | Unknown |
| Gold     | DODUCO Contacts and Refining GmbH             | Germany   | CID000362 | Other     | No      |
| Gold     | C. Hafner GmbH + Co. KG                       | Germany   | CID000176 | Compliant | No      |
| Gold     | Bauer Walser AG                               | Germany   | CID000141 | Other     | Unknown |
| Gold     | Aurubis AG                                    | Germany   | CID000113 | Compliant | No      |
| Gold     | Allgemeine Gold-und Silberscheideanstalt A.G. | Germany   | CID000035 | Compliant | No      |
| Gold     | Gold Coast Refinery                           | Ghana     | CID003186 | Other     | No      |
| Gold     | Kee Shing                                     | Hong Kong | CID000960 | Other     | Unknown |
| Gold     | KP Sanghvi International Airport              | India     | CID004433 | Active    | Unknown |
| Gold     | MD Overseas                                   | India     | CID003548 | Other     | Unknown |
| Gold     | Emerald Jewel Industry India Limited (Unit 4) | India     | CID003490 | Other     | Unknown |
| Gold     | Emerald Jewel Industry India Limited (Unit 3) | India     | CID003489 | Other     | Unknown |
| Gold     | Emerald Jewel Industry India Limited (Unit 2) | India     | CID003488 | Other     | Unknown |
| Gold     | Emerald Jewel Industry India Limited (Unit 1) | India     | CID003487 | Other     | Unknown |
| Gold     | Kundan Care Products Ltd.                     | India     | CID003463 | Other     | No      |
| Gold     | Augmont Enterprises Private Limited           | India     | CID003461 | Other     | No      |
| Tin      | Precious Minerals and Smelting Limited        | India     | CID003409 | Active    | No      |
| Gold     | Sovereign Metals                              | India     | CID003383 | Other     | Yes     |
| Gold     | CGR Metalloys Pvt Ltd.                        | India     | CID003382 | Other     | No      |
| Gold     | JALAN & Company                               | India     | CID002893 | Other     | No      |
| Gold     | Bangalore Refinery                            | India     | CID002863 | Active    | No      |
| Gold     | Sai Refinery                                  | India     | CID002853 | Other     | No      |
| Gold     | GCC Gujrat Gold Centre Pvt. Ltd.              | India     | CID002852 | Other     | No      |
| Tungsten | Mehra Ferro-Alloys Pvt. Ltd.                  | India     | CID002652 | Other     | Unknown |
| Gold     | Shirpur Gold Refinery Ltd.                    | India     | CID002588 | Other     | No      |
| Gold     | MMTC-PAMP India Pvt., Ltd.                    | India     | CID002509 | Compliant | Yes     |
| Tantalum | Metallurgical Products India Pvt., Ltd.       | India     | CID001163 | Compliant | Yes     |
| Tin      | PT Putera Sarana Shakti (PT PSS)              | Indonesia | CID003868 | Compliant | Unknown |
| Tin      | PT Mitra Sukses Globalindo                    | Indonesia | CID003449 | Compliant | No      |

|      |                              |           |           |           |         |
|------|------------------------------|-----------|-----------|-----------|---------|
| Tin  | PT Rajawali Rimba Perkasa    | Indonesia | CID003381 | Compliant | No      |
| Tin  | PT Masbro Alam Stania        | Indonesia | CID003380 | Other     | Unknown |
| Tin  | PT Bangka Serumpun           | Indonesia | CID003205 | Compliant | No      |
| Tin  | PT Lautan Harmonis Sejahtera | Indonesia | CID002870 | Other     | Unknown |
| Tin  | PT Menara Cipta Mulia        | Indonesia | CID002835 | Compliant | Yes     |
| Tin  | PT Kijang Jaya Mandiri       | Indonesia | CID002829 | Other     | Unknown |
| Tin  | PT Sukses Inti Makmur        | Indonesia | CID002816 | Compliant | No      |
| Tin  | PT Bangka Prima Tin          | Indonesia | CID002776 | Compliant | No      |
| Tin  | PT O.M. Indonesia            | Indonesia | CID002757 | Other     | Unknown |
| Tin  | PT Cipta Persada Mulia       | Indonesia | CID002696 | Compliant | No      |
| Tin  | PT Rajehan Ariq              | Indonesia | CID002593 | Compliant | No      |
| Tin  | CV Dua Sekawan               | Indonesia | CID002592 | Other     | Unknown |
| Tin  | CV Ayi Jaya                  | Indonesia | CID002570 | Compliant | No      |
| Tin  | PT Inti Stania Prima         | Indonesia | CID002530 | Other     | Unknown |
| Tin  | PT ATD Makmur Mandiri Jaya   | Indonesia | CID002503 | Compliant | Yes     |
| Tin  | PT Wahana Perkit Jaya        | Indonesia | CID002479 | Other     | Unknown |
| Tin  | PT Tirus Putra Mandiri       | Indonesia | CID002478 | Other     | No      |
| Tin  | PT Singkep Times Utama       | Indonesia | CID002476 | Other     | Unknown |
| Tin  | CV Venus Inti Perkasa        | Indonesia | CID002455 | Compliant | Yes     |
| Gold | Tai Perng                    | Indonesia | CID001840 | Other     | Unknown |
| Tin  | PT Yinchendo Mining Industry | Indonesia | CID001494 | Other     | Unknown |
| Tin  | PT Tommy Utama               | Indonesia | CID001493 | Compliant | No      |
| Tin  | PT Tinindo Inter Nusa        | Indonesia | CID001490 | Compliant | Yes     |
| Tin  | PT Timah Nusantara           | Indonesia | CID001486 | Compliant | Yes     |
| Tin  | PT Timah Tbk Mentok          | Indonesia | CID001482 | Compliant | No      |
| Tin  | PT Timah Tbk Kundur          | Indonesia | CID001477 | Compliant | No      |
| Tin  | PT Supra Sukses Trinusa      | Indonesia | CID001476 | Other     | Unknown |
| Tin  | PT Sumber Jaya Indah         | Indonesia | CID001471 | Other     | Unknown |
| Tin  | PT Stanindo Inti Perkasa     | Indonesia | CID001468 | Compliant | Yes     |
| Tin  | PT Seirama Tin Investment    | Indonesia | CID001466 | Other     | Unknown |
| Tin  | PT Sariwiguna Binasentosa    | Indonesia | CID001463 | Compliant | No      |
| Tin  | PT Refined Bangka Tin        | Indonesia | CID001460 | Compliant | No      |
| Tin  | PT Prima Timah Utama         | Indonesia | CID001458 | Compliant | No      |
| Tin  | PT Panca Mega Persada        | Indonesia | CID001457 | Other     | No      |
| Tin  | PT NATARI                    | Indonesia | CID001456 | Other     | Unknown |
| Tin  | PT Mitra Stania Prima        | Indonesia | CID001453 | Compliant | No      |
| Tin  | PT Koba Tin                  | Indonesia | CID001449 | Other     | Unknown |
| Tin  | PT Karimun Mining            | Indonesia | CID001448 | Other     | Unknown |
| Tin  | PT HP Metals Indonesia       | Indonesia | CID001445 | Other     | Unknown |

|          |   |           |           |           |         |
|----------|---|-----------|-----------|-----------|---------|
| Tin      | PT Fang Di MulTindo   | Indonesia | CID001442 | Other     | Unknown |
| Tin      | PT Eunindo Usaha Mandiri  | Indonesia | CID001438 | Other     | Unknown |
| Tin      | PT DS Jaya Abadi  | Indonesia | CID001434 | Other     | Unknown |
| Tin      | PT Bukit Timah  | Indonesia | CID001428 | Compliant | Yes     |
| Tin      | PT BilliTin Makmur Lestari  | Indonesia | CID001424 | Other     | Unknown |
| Tin      | PT Belitung Industri Sejahtera  | Indonesia | CID001421 | Compliant | No      |
| Tin      | PT Bangka Tin Industry  | Indonesia | CID001419 | Active    | Yes     |
| Tin      | PT Bangka Timah Utama Sejahtera   | Indonesia | CID001416 | Other     | Unknown |
| Tin      | PT Bangka Putra Karya   | Indonesia | CID001412 | Other     | Unknown |
| Tin      | PT Bangka Kudai Tin   | Indonesia | CID001409 | Other     | Unknown |
| Tin      | PT Babel Surya Alam Lestari   | Indonesia | CID001406 | Compliant | No      |
| Tin      | PT Babel Inti Perkasa   | Indonesia | CID001402 | Compliant | No      |
| Tin      | PT Artha Cipta Langgeng   | Indonesia | CID001399 | Compliant | No      |
| Gold     | PT Aneka Tambang (Persero) Tbk  | Indonesia | CID001397 | Compliant | No      |
| Tin      | PT Alam Lestari Kencana   | Indonesia | CID001393 | Other     | Unknown |
| Tin      | CV United Smelting  | Indonesia | CID000315 | Other     | Unknown |
| Tin      | PT Premium Tin Indonesia  | Indonesia | CID000313 | Compliant | No      |
| Tin      | PT Aries Kencana Sejahtera  | Indonesia | CID000309 | Compliant | No      |
| Tin      | CV Makmur Jaya  | Indonesia | CID000308 | Other     | Unknown |
| Tin      | PT Justindo   | Indonesia | CID000307 | Other     | Unknown |
| Tin      | CV Gita Pesona  | Indonesia | CID000306 | Other     | Unknown |
| Tin      | Omodeo A. E S. Metalleghe SRL   | Italy     | CID003395 | Other     | Unknown |
| Gold     | Safimet S.p.A   | Italy     | CID002973 | Other     | Yes     |
| Gold     | Italpreziosi  | Italy     | CID002765 | Compliant | No      |
| Gold     | 8853 S.p.A.   | Italy     | CID002763 | Other     | No      |
| Gold     | T.C.A S.p.A   | Italy     | CID002580 | Compliant | No      |
| Gold     | Faggi Enrico S.p.A.   | Italy     | CID002355 | Other     | Unknown |
| Gold     | Chimet S.p.A.   | Italy     | CID000233 | Compliant | No      |
| Tin      | Takehara PVD Materials Plant / PVD Materials Division of MITSUI MINING & SMELTING CO., LTD. | Japan     | CID004403 | Active    | Unknown |
| Gold     | Eco-System Recycling Co., Ltd. West Plant   | Japan     | CID003425 | Compliant | No      |
| Gold     | Eco-System Recycling Co., Ltd. North Plant  | Japan     | CID003424 | Compliant | No      |
| Tantalum | Furuuchi Chemical Corporation   | Japan     | CID002576 | Other     | Unknown |
| Tantalum | Global Advanced Metals Aizu   | Japan     | CID002558 | Compliant | Yes     |

|          |   |       |           |           |         |
|----------|---|-------|-----------|-----------|---------|
| Tantalum | H.C. Starck Ltd.                          | Japan | CID002549 | Compliant | Yes     |
| Tin      | Nihon Superior Co., Ltd.                  | Japan | CID002441 | Other     | Unknown |
| Tungsten | Toshiba Material Co., Ltd.                | Japan | CID002423 | Other     | Unknown |
| Tungsten | Daido Steel                               | Japan | CID002349 | Other     | Unknown |
| Gold     | Nohon Material Corporation                | Japan | CID002285 | Other     | Unknown |
| Gold     | Yokohama Metal Co., Ltd.                  | Japan | CID002129 | Compliant | Yes     |
| Gold     | Yamato Denki Ind. Co., Ltd.               | Japan | CID002102 | Other     | Unknown |
| Gold     | Yamakin Co., Ltd.                         | Japan | CID002100 | Compliant | No      |
| Gold     | Tokuriki Honten Co., Ltd.                 | Japan | CID001938 | Compliant | Yes     |
| Gold     | Tanaka Kikinzoku Kogyo K.K.               | Japan | CID001875 | Compliant | No      |
| Tantalum | Taki Chemical Co., Ltd.                   | Japan | CID001869 | Compliant | Yes     |
| Gold     | Sumitomo Metal Mining Co., Ltd.           | Japan | CID001798 | Compliant | No      |
| Gold     | Ohura Precious Metal Industry Co., Ltd.   | Japan | CID001325 | Compliant | No      |
| Gold     | Nihon Material Co., Ltd.                  | Japan | CID001259 | Compliant | Yes     |
| Gold     | Nihon Superior Co., Ltd.                  | Japan | CID001252 | Other     | Unknown |
| Gold     | Mitsui Mining and Smelting Co., Ltd.      | Japan | CID001193 | Compliant | No      |
| Tantalum | Mitsui Mining and Smelting Co., Ltd.      | Japan | CID001192 | Compliant | Yes     |
| Tin      | Mitsubishi Materials Corporation          | Japan | CID001191 | Compliant | Yes     |
| Gold     | Mitsubishi Materials Corporation          | Japan | CID001188 | Compliant | Yes     |
| Gold     | Matsuda Sangyo Co., Ltd.                  | Japan | CID001119 | Compliant | No      |
| Tin      | Materials Eco-Refining Co., Ltd.          | Japan | CID001112 | Other     | Unknown |
| Gold     | Kosak Seiren                              | Japan | CID000991 | Other     | Unknown |
| Gold     | Kojima Chemicals Co., Ltd.                | Japan | CID000981 | Compliant | No      |
| Gold     | JX Nippon Mining & Metals Co., Ltd.       | Japan | CID000937 | Compliant | Yes     |
| Tungsten | Japan New Metals Co., Ltd.                | Japan | CID000825 | Compliant | Yes     |
| Gold     | Japan Mint                                | Japan | CID000823 | Compliant | No      |
| Gold     | Ishifuku Metal Industry Co., Ltd.         | Japan | CID000807 | Compliant | No      |
| Gold     | Eco-System Recycling Co., Ltd. East Plant | Japan | CID000425 | Compliant | No      |
| Tin      | Dowa                                      | Japan | CID000402 | Compliant | No      |
| Gold     | Dowa                                      | Japan | CID000401 | Compliant | No      |
| Tin      | Dai-ichi Seiko                            | Japan | CID000340 | Other     | Unknown |
| Gold     | Dai-ichi Seiko                            | Japan | CID000339 | Other     | Unknown |



|          |  |                    |           |           |         |
|----------|--|--------------------|-----------|-----------|---------|
| Gold     | Chugai Mining  | Japan              | CID000264 | Compliant | No      |
| Tantalum | Asaka Riken Co., Ltd.  | Japan              | CID000092 | Other     | No      |
| Gold     | Asaka Riken Co., Ltd.  | Japan              | CID000090 | Compliant | Yes     |
| Gold     | Asahi Pretec Corp.   | Japan              | CID000082 | Compliant | No      |
| Gold     | Aida Chemical Industries Co., Ltd.                                   | Japan              | CID000019 | Compliant | No      |
| Tungsten | A.L.M.T. Corp.   | Japan              | CID000004 | Compliant | Yes     |
| Gold     | TOO Tau-Ken-Altyn  | Kazakhstan         | CID002615 | Compliant | No      |
| Tantalum | Ulba Metallurgical Plant JSC   | Kazakhstan         | CID001969 | Compliant | Yes     |
| Gold     | Kazzinc  | Kazakhstan         | CID000957 | Compliant | No      |
| Gold     | Kazakhmys Smelting LLC   | Kazakhstan         | CID000956 | Other     | No      |
| Gold     | Aktyubinsk Copper Company  | Kazakhstan         | CID000028 | Other     | Unknown |
| Tungsten | Dongkuk Industries Co., Ltd.   | Korea, Republic Of | CID004060 | Other     | No      |
| Tungsten | Hannae For T Co., Ltd.   | Korea, Republic Of | CID003978 | Other     | Unknown |
| Tungsten | KGETS Co., Ltd.  | Korea, Republic Of | CID003388 | Other     | Unknown |
| Gold     | DS PRETECH Co., Ltd.   | Korea, Republic Of | CID003195 | Other     | No      |
| Gold     | NH Recytech Company  | Korea, Republic Of | CID003189 | Compliant | No      |
| Gold     | SungEel HiMetal Co., Ltd.  | Korea, Republic Of | CID002918 | Compliant | Yes     |
| Tungsten | Woltech Korea Co., Ltd.  | Korea, Republic Of | CID002843 | Other     | No      |
| Gold     | Korea Zinc Co., Ltd.   | Korea, Republic Of | CID002605 | Compliant | No      |
| Gold     | Torecom  | Korea, Republic Of | CID001955 | Compliant | No      |
| Gold     | Samwon Metals Corp.  | Korea, Republic Of | CID001562 | Other     | No      |
| Gold     | Samduck Precious Metals  | Korea, Republic Of | CID001555 | Other     | Yes     |
| Gold     | LS-NIKKO Copper Inc.   | Korea, Republic Of | CID001078 | Compliant | No      |
| Gold     | Korea Metal Co., Ltd.  | Korea, Republic Of | CID000988 | Other     | Unknown |
| Gold     | HwaSeong CJ CO., LTD.  | Korea, Republic Of | CID000778 | Other     | No      |
| Gold     | LT Metal Ltd.  | Korea, Republic Of | CID000689 | Compliant | No      |
| Tin      | Hanbaek nonferrous metals  | Korea, Republic Of | CID000666 | Other     | Unknown |
| Tin      | Duksan Hi-Metal Co., Ltd.  | Korea, Republic Of | CID000409 | Other     | Unknown |
| Gold     | DSC (Do Sung Corporation)  | Korea, Republic Of | CID000359 | Compliant | No      |
| Gold     | Daejin Indus Co., Ltd.   | Korea, Republic Of | CID000328 | Other     | Unknown |
| Gold     | Kyrgyzaltyn JSC  | Kyrgyzstan         | CID001029 | Other     | No      |
| Gold     | State Research Institute Center for Physical Sciences and Technology | Lithuania          | CID003153 | Other     | Yes     |
| Tin      | Malaysia Smelting Corporation Berhad (Port Klang)                    | Malaysia           | CID004434 | Active    | Unknown |
| Tin      | Rian Resources SDN. BHD.   | Malaysia           | CID003581 | Compliant | Unknown |
| Tin      | Modeltech Sdn Bhd  | Malaysia           | CID002858 | Other     | No      |
| Gold     | Modeltech Sdn Bhd  | Malaysia           | CID002857 | Other     | No      |

|          |   |                 |           |           |         |
|----------|---|-----------------|-----------|-----------|---------|
| Tin      | HuiChang Hill Tin Industry Co., Ltd.                          | Malaysia        | CID002844 | Compliant | No      |
| Tin      | Metahub Industries Sdn. Bhd.                                  | Malaysia        | CID001136 | Other     | Unknown |
| Tin      | Malaysia Smelting Corporation (MSC)                           | Malaysia        | CID001105 | Compliant | Yes     |
| Gold     | Sellem Industries Ltd.  | Mauritania      | CID003540 | Other     | Unknown |
| Tantalum | KEMET Blue Metals   | Mexico          | CID002539 | Compliant | Yes     |
| Gold     | Metalurgica Met-Mex Penoles S.A. De C.V.                      | Mexico          | CID001161 | Compliant | No      |
| Gold     | Caridad   | Mexico          | CID000180 | Other     | No      |
| Tin      | DS Myanmar  | Myanmar         | CID003831 | Compliant | Yes     |
| Tin      | Pongpipat Company Limited                                     | Myanmar         | CID003208 | Other     | No      |
| Gold     | REMONDIS PMR B.V.   | Netherlands     | CID002582 | Compliant | No      |
| Gold     | Schone Edelmetaal B.V.  | Netherlands     | CID001573 | Other     | Unknown |
| Gold     | Morris and Watson   | New Zealand     | CID002282 | Other     | No      |
| Tantalum | PRG Dooel   | North Macedonia | CID002847 | Other     | Yes     |
| Gold     | K.A. Rasmussen  | Norway          | CID003497 | Other     | Yes     |
| Tin      | Minsur  | Peru            | CID001182 | Compliant | Yes     |
| Tungsten | Philippine Chuangxin Industrial Co., Inc.                     | Philippines     | CID002827 | Compliant | No      |
| Tin      | O.M. Manufacturing Philippines, Inc.                          | Philippines     | CID002517 | Compliant | No      |
| Gold     | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | Philippines     | CID000128 | Compliant | No      |
| Gold     | KGHM Polska Miedz Spolka Akcyjna                              | Poland          | CID002511 | Compliant | No      |
| Tin      | Fenix Metals  | Poland          | CID000468 | Compliant | No      |
| Gold     | Albino Moutinho Lda.  | Portugal        | CID002760 | Other     | Unknown |
| Tungsten | LLC Vostok  | Russia          | CID003643 | Other     | Unknown |
| Tungsten | OOO "Technolom" 1   | Russia          | CID003614 | Other     | No      |
| Tungsten | OOO "Technolom" 2   | Russia          | CID003612 | Other     | Unknown |
| Tungsten | Artek LLC   | Russia          | CID003553 | Other     | Unknown |
| Tungsten | NPP Tyazhmetprom LLC  | Russia          | CID003416 | Other     | No      |
| Tungsten | JSC "Kirovgrad Hard Alloys Plant"                             | Russia          | CID003408 | Other     | No      |
| Gold     | Kyshtym Copper-Electrolytic Plant ZAO                         | Russia          | CID002865 | Other     | No      |
| Tungsten | Moliren Ltd.  | Russia          | CID002845 | Other     | No      |
| Tungsten | Unecha Refractory metals plant                                | Russia          | CID002724 | Other     | No      |
| Tungsten | Hydrometallurg, JSC   | Russia          | CID002649 | Other     | Yes     |

|          |   |              |           |           |         |
|----------|---|--------------|-----------|-----------|---------|
| Tungsten | Pobedit, JSC  | Russia       | CID002532 | Other     | Unknown |
| Tungsten | WOLFRAM Company CJSC  | Russia       | CID002047 | Other     | Unknown |
| Tantalum | Solikamsk Magnesium Works OAO   | Russia       | CID001769 | Other     | Yes     |
| Gold     | SOE Shyolkovsky Factory of Secondary Precious Metals                        | Russia       | CID001756 | Other     | No      |
| Gold     | Prioksky Plant of Non-Ferrous Metals  | Russia       | CID001386 | Other     | Yes     |
| Gold     | OJSC Kolyma Refinery  | Russia       | CID001328 | Other     | Unknown |
| Gold     | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | Russia       | CID001326 | Other     | Yes     |
| Tin      | Novosibirsk Processing Plant Ltd.   | Russia       | CID001305 | Other     | No      |
| Gold     | Moscow Special Alloys Processing Plant                                      | Russia       | CID001204 | Other     | No      |
| Gold     | JSC Uralelectromed  | Russia       | CID000929 | Other     | No      |
| Gold     | JSC Ekaterinburg Non-Ferrous Metal Processing Plant                         | Russia       | CID000927 | Other     | No      |
| Gold     | OJSC Novosibirsk Refinery   | Russia       | CID000493 | Other     | Yes     |
| Tantalum | PowerX Ltd.   | Rwanda       | CID004054 | Compliant | Unknown |
| Tin      | Luna Smelter, Ltd.  | Rwanda       | CID003387 | Compliant | Yes     |
| Tin      | Phoenix Metal Ltd.  | Rwanda       | CID002507 | Other     | Unknown |
| Gold     | L'azurde Company For Jewelry  | Saudi Arabia | CID001032 | Other     | No      |
| Tin      | M/s ECO Tropical Resources  | Singapore    | CID002382 | Other     | Unknown |
| Tin      | Sizer Metals PTE Ltd  | Singapore    | CID001748 | Other     | Unknown |
| Gold     | Metalor Technologies (Singapore) Pte., Ltd.                                 | Singapore    | CID001152 | Compliant | No      |
| Gold     | Metal Concentrators SA (Pty) Ltd.   | South Africa | CID003575 | Compliant | No      |
| Gold     | AU Traders and Refiners   | South Africa | CID002850 | Other     | Yes     |
| Gold     | Rand Refinery (Pty) Ltd.  | South Africa | CID001512 | Compliant | Yes     |
| Tin      | CRM Synergies   | Spain        | CID003524 | Compliant | No      |
| Tin      | Metallo Spain S.L.U.  | Spain        | CID002774 | Compliant | Yes     |
| Gold     | SEMPA Joyeria Plateria S.A.   | Spain        | CID001585 | Compliant | No      |
| Gold     | Sudan Gold Refinery   | Sudan        | CID002567 | Other     | Yes     |
| Gold     | Boliden AB  | Sweden       | CID000157 | Compliant | No      |
| Gold     | Valcambi S.A.   | Switzerland  | CID002003 | Compliant | Yes     |
| Gold     | PX Precinox S.A.  | Switzerland  | CID001498 | Compliant | No      |
| Gold     | PAMP S.A.   | Switzerland  | CID001352 | Compliant | Yes     |
| Gold     | Metalor Technologies S.A.   | Switzerland  | CID001153 | Compliant | Yes     |

|          |  |             |           |           |         |
|----------|--|-------------|-----------|-----------|---------|
| Gold     | IMPAG AG                                 | Switzerland | CID000790 | Other     | Unknown |
| Gold     | Cendres + Metaux S.A.                    | Switzerland | CID000189 | Other     | No      |
| Gold     | Argor-Heraeus S.A.                       | Switzerland | CID000077 | Compliant | No      |
| Gold     | Tsai Brother industries                  | Taiwan      | CID002745 | Other     | Unknown |
| Tin      | Minchali Metal Industry Co., Ltd.        | Taiwan      | CID001172 | Other     | Unknown |
| Tungsten | Lianyou Resources Co., Ltd.              | Taiwan      | CID004397 | Compliant | Unknown |
| Tungsten | Lianyou Metals Co., Ltd.                 | Taiwan      | CID003407 | Compliant | Yes     |
| Gold     | Singway Technology Co., Ltd.             | Taiwan      | CID002516 | Other     | No      |
| Tin      | WELLEY                                   | Taiwan      | CID002027 | Other     | Unknown |
| Tin      | Taiwan's lofty Enterprises Ltd.          | Taiwan      | CID001859 | Other     | Unknown |
| Gold     | TAIWAN TOTAL CO., LTD.                   | Taiwan      | CID001857 | Other     | Unknown |
| Tin      | Taiwan Huanliang                         | Taiwan      | CID001852 | Other     | Unknown |
| Tin      | Taiwan high-tech Co., Ltd.               | Taiwan      | CID001851 | Other     | Unknown |
| Gold     | Suntain Co., Ltd.                        | Taiwan      | CID001808 | Other     | Unknown |
| Gold     | Solar Applied Materials Technology Corp. | Taiwan      | CID001761 | Compliant | No      |
| Tin      | Rui Da Hung                              | Taiwan      | CID001539 | Compliant | No      |
| Tin      | Pan Light Corporation                    | Taiwan      | CID001356 | Other     | Unknown |
| Tin      | Fuji Metal Mining Corp.                  | Taiwan      | CID000498 | Other     | Unknown |
| Gold     | GG Refinery Ltd.                         | Tanzania    | CID004506 | Active    | Unknown |
| Tantalum | H.C. Starck Co., Ltd.                    | Thailand    | CID002544 | Compliant | Yes     |
| Gold     | Umicore Precious Metals Thailand         | Thailand    | CID002314 | Other     | No      |
| Tin      | Ultracore Co., Ltd.                      | Thailand    | CID001998 | Other     | Unknown |
| Tin      | Thaisarco                                | Thailand    | CID001898 | Compliant | Yes     |
| Tin      | Thailand Mine Factory                    | Thailand    | CID001897 | Other     | Unknown |
| Tin      | O.M. Manufacturing (Thailand) Co., Ltd.  | Thailand    | CID001314 | Compliant | No      |
| Gold     | Nadir Metal Rafineri San. Ve Tic. A.S.   | Turkey      | CID001220 | Compliant | No      |
| Gold     | Istanbul Gold Refinery                   | Turkey      | CID000814 | Compliant | Yes     |
| Gold     | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | Turkey      | CID000103 | Other     | No      |
| Tantalum | Avon Specialty Metals Ltd.               | U.K.        | CID002705 | Compliant | Unknown |
| Tin      | Karas Plating Ltd.                       | U.K.        | CID003047 | Other     | Unknown |
| Tungsten | Avon Specialty Metals Ltd                | U.K.        | CID002704 | Compliant | Unknown |
| Gold     | African Gold Refinery                    | Uganda      | CID003185 | Other     | Yes     |
| Gold     | Sam Precious Metals                      | UAE         | CID003666 | Other     | Unknown |
| Gold     | Dijllah Gold Refinery FZC                | UAE         | CID003348 | Other     | No      |
| Gold     | Al Ghaith Gold                           | UAE         | CID002899 | Other     | Unknown |

|          |                                       |     |           |           |         |
|----------|---------------------------------------|-----|-----------|-----------|---------|
| Gold     | ARY Aurum Plus                        | UAE | CID002596 | Other     | Unknown |
| Gold     | Fujairah Gold FZC                     | UAE | CID002584 | Other     | No      |
| Gold     | Kaloti Precious Metals                | UAE | CID002563 | Other     | No      |
| Gold     | International Precious Metal Refiners | UAE | CID002562 | Other     | No      |
| Gold     | Emirates Gold DMCC                    | UAE | CID002561 | Other     | Yes     |
| Gold     | Al Etihad Gold Refinery DMCC          | UAE | CID002560 | Other     | No      |
| Gold     | Nyrstar                               | USA | CID001313 | Other     | Unknown |
| Gold     | Metallix Refining Inc.                | USA | CID003557 | Other     | Unknown |
| Gold     | Alexy Metals                          | USA | CID003500 | Other     | Unknown |
| Tungsten | CP Metals Inc.                        | USA | CID003448 | Other     | Unknown |
| Tantalum | CP Metals Inc.                        | USA | CID003402 | Other     | Unknown |
| Tantalum | PM Kalco Inc                          | USA | CID003396 | Other     | Unknown |
| Tin      | Tin Technology & Refining             | USA | CID003325 | Compliant | Yes     |
| Gold     | QG Refining, LLC                      | USA | CID003324 | Other     | Yes     |
| Gold     | Pease & Curren                        | USA | CID002872 | Other     | No      |
| Gold     | AURA-II                               | USA | CID002851 | Other     | Unknown |
| Tin      | Arco Alloys                           | USA | CID002809 | Other     | Unknown |
| Gold     | Abington Reldan Metals, LLC           | USA | CID002708 | Compliant | No      |
| Tungsten | Tungsten Diversified Industries LLC   | USA | CID002659 | Other     | Unknown |
| Tantalum | E.S.R. Electronics                    | USA | CID002590 | Other     | Unknown |
| Tungsten | Niagara Refining LLC                  | USA | CID002589 | Compliant | No      |
| Gold     | Precious Metals Sales Corp.           | USA | CID002581 | Other     | Unknown |
| Tantalum | Tranzact, Inc.                        | USA | CID002571 | Other     | Unknown |
| Tantalum | KEMET Blue Powder                     | USA | CID002568 | Other     | Unknown |
| Tantalum | Global Advanced Metals Boyertown      | USA | CID002557 | Compliant | Yes     |
| Tantalum | H.C. Starck Inc.                      | USA | CID002548 | Compliant | Yes     |
| Gold     | Republic Metals Corporation           | USA | CID002510 | Other     | Unknown |
| Tantalum | D Block Metals, LLC                   | USA | CID002504 | Compliant | Yes     |
| Gold     | Geib Refining Corporation             | USA | CID002459 | Other     | No      |
| Tin      | Spectro Alloys Corp.                  | USA | CID002411 | Other     | Unknown |
| Gold     | United Precious Metal Refining, Inc.  | USA | CID001993 | Compliant | No      |
| Tantalum | Telex Metals                          | USA | CID001891 | Compliant | Yes     |
| Tin      | Tennessee Aluminum Processors         | USA | CID001882 | Other     | Unknown |
| Gold     | Sabin Metal Corp.                     | USA | CID001546 | Other     | No      |
| Tantalum | QuantumClean                          | USA | CID001508 | Compliant | Yes     |
| Tin      | Elemetal Refining, LLC                | USA | CID001323 | Other     | Unknown |

|          |   |            |           |           |         |
|----------|---|------------|-----------|-----------|---------|
| Gold     | Elemetal Refining, LLC  | USA        | CID001322 | Other     | No      |
| Tin      | Ney Metals and Alloys   | USA        | CID001246 | Other     | Unknown |
| Gold     | Metalor USA Refining Corporation  | USA        | CID001157 | Compliant | No      |
| Tin      | Metallic Resources, Inc.  | USA        | CID001142 | Compliant | No      |
| Gold     | Materion  | USA        | CID001113 | Compliant | No      |
| Gold     | Kennecott Utah Copper LLC   | USA        | CID000969 | Compliant | Yes     |
| Tungsten | Kennametal Fallon   | USA        | CID000966 | Compliant | Yes     |
| Tantalum | KEMET Corp.   | USA        | CID000963 | Other     | Unknown |
| Gold     | Asahi Refining USA Inc.   | USA        | CID000920 | Compliant | Yes     |
| Tantalum | Hi-Temp Specialty Metals, Inc.  | USA        | CID000731 | Other     | No      |
| Tungsten | Global Tungsten & Powders Corp.   | USA        | CID000568 | Compliant | Yes     |
| Tantalum | Global Advanced Metals  | USA        | CID000564 | Other     | Unknown |
| Tantalum | Exotech Inc.  | USA        | CID000456 | Other     | Yes     |
| Tin      | Alpha   | USA        | CID000292 | Compliant | Yes     |
| Gold     | Colt Refining   | USA        | CID000288 | Other     | Unknown |
| Tungsten | Kennametal Huntsville   | USA        | CID000105 | Compliant | Yes     |
| Gold     | Advanced Chemical Company   | USA        | CID000015 | Active    | No      |
| Gold     | So Accurate Group, Inc.   | USA        | CID001754 | Other     | Unknown |
| Gold     | Navoi Mining and Metallurgical Combinat   | Uzbekistan | CID001236 | Compliant | No      |
| Gold     | Almalyk Mining and Metallurgical Complex (AMMC)                                       | Uzbekistan | CID000041 | Compliant | Yes     |
| Tungsten | Kenee Mining Corporation Vietnam  | Viet Nam   | CID004619 | Active    | Unknown |
| Tungsten | Nam Viet Cromit Joint Stock Company   | Viet Nam   | CID004034 | Other     | Unknown |
| Tungsten | Tungsten Vietnam Joint Stock Company  | Viet Nam   | CID003993 | Compliant | Unknown |
| Tin      | Thai Nguyen Mining and Metallurgy Co., Ltd.   | Viet Nam   | CID002834 | Other     | No      |
| Tin      | An Thai Minerals Co., Ltd.  | Viet Nam   | CID002825 | Other     | Unknown |
| Tin      | An Vinh Joint Stock Mineral Processing Company  | Viet Nam   | CID002703 | Other     | No      |
| Tin      | Tuyen Quang Non-Ferrous Metals Joint Stock Company                                    | Viet Nam   | CID002574 | Other     | No      |
| Tin      | Nghe Tinh Non-Ferrous Metals Joint Stock Company                                      | Viet Nam   | CID002573 | Other     | Yes     |
| Tin      | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | Viet Nam   | CID002572 | Other     | No      |

|          |  |          |           |           |         |
|----------|--|----------|-----------|-----------|---------|
| Tungsten | Masan High-Tech Materials                    | Viet Nam | CID002543 | Compliant | Yes     |
| Tungsten | Sanher Tungsten Vietnam Co., Ltd.            | Viet Nam | CID002538 | Other     | Unknown |
| Tungsten | Asia Tungsten Products Vietnam Ltd.          | Viet Nam | CID002502 | Compliant | Yes     |
| Tin      | VQB Mineral and Trading Group JSC            | Viet Nam | CID002015 | Other     | Yes     |
| Tungsten | Vietnam Youngsun Tungsten Industry Co., Ltd. | Viet Nam | CID002011 | Other     | Unknown |
| Tungsten | Tejing (Vietnam) Tungsten Co., Ltd.          | Viet Nam | CID001889 | Other     | Unknown |
| Gold     | Universal Precious Metals Refining Zambia    | Zambia   | CID002854 | Other     | Unknown |
| Gold     | Fidelity Printers and Refiners Ltd.          | Zimbabwe | CID002515 | Other     | Yes     |

Reported by the RMI RMAP on May 2024

Compliant - audited and found compliant with the relevant RMAP protocol

Active - engaged in the program but not yet compliant

Other - have not received compliant or active status

## ANNEX II - Countries of Origin

The information in this Annex is an aggregation of data provided by Halliburton's suppliers and our own due diligence efforts as of May 15, 2024 and not a confirmation of conflict minerals contained in our products.

Countries of origin include:

|                                  |                    |                  |                |
|----------------------------------|--------------------|------------------|----------------|
| Åland Islands                    | Djibouti           | Liberia          | Samoa          |
| American Samoa                   | Dominica           | Liechtenstein    | Saudi Arabia   |
| Andorra                          | Dominican Republic | Lithuania        | Senegal        |
| Angola                           | Ecuador            | Luxembourg       | Sierra Leone   |
| Argentina                        | Egypt              | Madagascar       | Singapore      |
| Armenia                          | Eritrea            | Malaysia         | Slovakia       |
| Aruba                            | Estonia            | Mali             | Slovenia       |
| Australia                        | Ethiopia           | Mauritania       | South Africa   |
| Austria                          | France             | Mexico           | South Sudan    |
| Azerbaijan                       | Germany            | Mongolia         | Spain          |
| Bahamas                          | Ghana              | Morocco          | Sudan          |
| Barbados                         | Guatemala          | Mozambique       | Suriname       |
| Belarus                          | Guinea             | Myanmar          | Sweden         |
| Belgium                          | Guyana             | Namibia          | Switzerland    |
| Benin                            | Honduras           | Netherlands      | Taiwan         |
| Bermuda                          | Hong Kong          | New Zealand      | Tajikistan     |
| Bolivia                          | Hungary            | Nicaragua        | Tanzania       |
| Brazil                           | India              | Niger            | Thailand       |
| Burkina Faso                     | Indonesia          | Nigeria          | Turkey         |
| Burundi                          | Ireland            | Norway           | Uganda         |
| Cambodia                         | Israel             | Panama           | UAE            |
| Canada                           | Italy              | Papua New Guinea | United Kingdom |
| Chile                            | Japan              | Peru             | USA            |
| China                            | Jersey             | Philippines      | Uzbekistan     |
| Colombia                         | Kazakhstan         | Poland           | Viet Nam       |
| Congo                            | Kenya              | Portugal         | Zambia         |
| Czechia                          | Korea              | Russia           | Zimbabwe       |
| Democratic Republic of the Congo | Kyrgyzstan         | Rwanda           |                |